

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L29112PN1962PLC012276

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACC7258B

(ii) (a) Name of the company

CUMMINS INDIA LIMITED

(b) Registered office address

Cummins India Office Campus, Tower A, 5th Floor,  
Survey No. 21, Balewadi,  
Pune  
Pune  
Maharashtra  
411015

(c) \*e-mail ID of the company

companysecretarial.CIL@cumn

(d) \*Telephone number with STD code

02067067000

(e) Website

cumminsindia.com

(iii) Date of Incorporation

17/02/1962

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BOMBAY STOCK EXCHANGE LIMITED	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

12/08/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

5

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CUMMINS INC		Holding	51
2	CUMMINS SALES & SERVICE PR	U29190DL2012PTC230162	Subsidiary	100

3	VALVOLINE CUMMINS PRIVATE	U74899DL1994PTC062425	Joint Venture	50
4	CUMMINS RESEARCH AND TEC	U73100PN2003PTC018025	Joint Venture	50
5	CUMMINS GENERATOR TECHN	U31101PN1991PTC061456	Associate	48.54

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	400,000,000	277,200,000	277,200,000	277,200,000
Total amount of equity shares (in Rupees)	800,000,000	554,400,000	554,400,000	554,400,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	400,000,000	277,200,000	277,200,000	277,200,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	800,000,000	554,400,000	554,400,000	554,400,000

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>	Physical	DEMAT	Total			
<b>At the beginning of the year</b>	2,298,332	274,901,668	277200000	554,400,000	554,400,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	2,298,332	274,901,668	277200000	554,400,000	554,400,000	
<b>Preference shares</b>						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☒

No

☐

Not Applicable

Separate sheet attached for details of transfers

☒

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="25/08/2020"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor <div style="border: 1px solid black; width: 500px; height: 25px; margin-left: 10px;"></div>			
Transferor's Name	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>
	Surname	middle name	first name
Ledger Folio of Transferee <div style="border: 1px solid black; width: 500px; height: 25px; margin-left: 10px;"></div>			
Transferee's Name	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

42,560,885,191

**(ii) Net worth of the Company**

44,067,700,000

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	141,372,683	51	0	
10.	Others		0	0	
	<b>Total</b>	141,372,683	51	0	0

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage



1.	Individual/Hindu Undivided Family				
	(i) Indian	23,416,401	8.45	0	
	(ii) Non-resident Indian (NRI)	1,402,861	0.51	0	
	(iii) Foreign national (other than NRI)	240	0	0	
2.	Government				
	(i) Central Government	3,496,462	1.26	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	25,681,035	9.26	0	
4.	Banks	215,905	0.08	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	30,259,380	10.92	0	
7.	Mutual funds	43,926,252	15.85	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,123,647	0.77	0	
10.	Others not mentioned above	5,305,134	1.91	0	
	<b>Total</b>	135,827,317	49.01	0	0

**Total number of shareholders (other than promoters)**

86,904

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

86,906

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	85,567	86,904
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	5	1	4	0	0
<b>B. Non-Promoter</b>	0	6	0	5	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	6	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	11	1	9	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Ashwath Ram	00149501	Managing Director	13,600	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Mr. Nasser Mukhtar Mu	00010180	Director	0	
Mr. Priya Shankar Dasg	00012552	Director	0	
Mr. Prakash Telang	00012562	Director	1,400	
Mr. Rajeev Bakshi	00044621	Director	0	
Mr. Donald Jackson	08261104	Director	0	
Mr. Norbert Nusterer	07640359	Director	0	
Mr. Steven Chapman	00496000	Additional director	0	
Ms. Lorraine Alyn Meye	08567527	Director	0	
Ms. Rama Bijapurkar	00001835	Director	0	
Ms. Vinaya Joshi	AMQPJ5216P	Company Secretar	0	
Mr. Ajay Patil	AAJPP9246Q	CFO	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Anjuly Chib Duggal	05264033	Director	06/04/2020	Cessation
Rama Bijapurkar	00001835	Director	17/06/2020	Appointment
Antonio Leita	05336740	Director	01/09/2020	Cessation
Venu Srinivasan	00051523	Director	01/09/2020	Cessation
Mark Levett	00368287	Director	30/09/2020	Cessation
Steven Chapman	00496000	Additional director	01/10/2020	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	25/08/2020	102,163	42	82.62

## B. BOARD MEETINGS

\*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	04/04/2020	12	10	83.33
2	17/06/2020	12	12	100
3	12/08/2020	12	11	91.67
4	28/09/2020	10	10	100
5	28/10/2020	10	10	100
6	28/01/2021	10	9	90
7	26/03/2021	10	10	100

## C. COMMITTEE MEETINGS

Number of meetings held

24

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	17/06/2020	6	6	100
2	Audit Committee	11/08/2020	6	6	100
3	Audit Committee	28/10/2020	4	4	100
4	Audit Committee	28/01/2021	5	5	100
5	Nomination and Remuneration Committee	04/04/2020	6	6	100
6	Nomination and Remuneration Committee	16/06/2020	6	6	100
7	Nomination and Remuneration Committee	12/08/2020	6	5	83.33
8	Nomination and Remuneration Committee	27/10/2020	5	5	100
9	Nomination and Remuneration Committee	23/12/2020	6	6	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Nomination an	27/01/2021	6	5	83.33

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	12/08/2021
								(Y/N/NA)
1	Mr. Ashwath F	7	7	100	8	8	100	Yes
2	Mr. Nasser Mu	7	6	85.71	20	17	85	Yes
3	Mr. Priya Shar	7	6	85.71	24	24	100	Yes
4	Mr. Prakash T	7	7	100	20	17	85	Yes
5	Mr. Rajeev Ba	7	7	100	15	15	100	Yes
6	Mr. Donald Ja	7	7	100	0	0	0	Yes
7	Mr. Norbert Nu	7	7	100	0	0	0	Yes
8	Mr. Steven Cha	3	3	100	3	3	100	Yes
9	Ms. Lorraine A	7	7	100	7	7	100	Yes
10	Ms. Rama Bija	6	6	100	4	4	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Ashwath Ram	Managing Direct	24,077,885				24,077,885
	Total		24,077,885				24,077,885

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ms. Vinaya Joshi	Company Secre	1,653,231				1,653,231
2	Mr. Ajay Shriram Pa	Chief Financial C	18,805,339				18,805,339
3	Ms. Hemiksha Bhoj	Company Secre	2,131,381				2,131,381
	Total		22,589,951				22,589,951

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Nasser Munjee	Independent Dir	0	1,367,260		660,000	2,027,260
2	Mr. Prakash Telang	Independent Dir	0	1,367,260		720,000	2,087,260
3	Mr. Priya Shankar D	Independent Dir	0	1,367,260		720,000	2,087,260
4	Mr. Rajeev Bakshi	Independent Dir	0	1,367,260		720,000	2,087,260
5	Ms. Rama Bijapurka	Independent Dir	0	1,098,493		570,000	1,668,493
6	Mr. Venu Srinivasar	Independent Dir	0	521,918		380,000	901,918
7	Ms. Anjuly Chib Dug	Independent Dir	0	24,658		0	24,658
	Total		0	7,114,109		3,770,000	10,884,109

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Pramod Shah

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

3804

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

ASHWA  
TH RAM

Digitally signed by  
ASHWATH RAM  
Date: 2021.01.28  
02:03:22 +05'30'

DIN of the director

00149501

**To be digitally signed by**

VINAYA  
ABHIJIT  
JOSHI

Digitally signed by VINAYA  
ABHIJIT JOSHI  
Date: 2021.01.28 12:31:58  
+05'30'

- ☒ Company Secretary  
☐ Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

MGT-7\_Details of Committee Meetings.pdf  
MGT-7\_FIIs and FPIs Details.pdf  
MGT-7\_Share Tranfer Details.pdf  
MGT-8\_Cummins India Limited\_2020-21\_5

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**





# **Details of Committee Meetings as per point IX. C as per MGT-7 e-from**

Total Number of Meetings of Committee- 24

Sr. No	Type of Meeting	Date of meeting	Total Number of members	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	17/06/2020	6	6	100
2	Audit Committee	11/08/2020	6	6	100
3	Audit Committee	28/10/2020	4	4	100
4	Audit Committee	28/01/2021	5	5	100
5	Nomination and Remuneration Committee	04/04/2020	6	6	100
6	Nomination and Remuneration Committee	16/06/2020	6	6	100
7	Nomination and Remuneration Committee	12/08/2020	6	5	83.33
8	Nomination and Remuneration Committee	28/09/2020	6	6	100
9	Nomination and Remuneration Committee	27/10/2020	5	5	100
10	Nomination and Remuneration Committee	23/12/2020	6	6	100
11	Nomination and Remuneration Committee	27/01/2021	6	5	83.33
12	Corporate Social	16/06/2020	5	5	100



	Responsibility Committee				
13	Corporate Social Responsibility Committee	12/08/2020	5	4	80
14	Corporate Social Responsibility Committee	27/10/2020	3	3	100
15	Corporate Social Responsibility Committee	27/01/2021	4	3	75
16	Corporate Social Responsibility Committee	26/03/2021	4	4	100
17	Risk Management Committee	16/06/2020	4	4	100
18	Risk Management Committee	12/08/2020	4	3	75
19	Risk Management Committee	27/10/2020	4	4	100
20	Risk Management Committee	27/01/2021	5	5	100
21	Stakeholders Relationship Committee	16/06/2020	4	4	100
22	Stakeholders Relationship Committee	12/08/2020	4	4	100
23	Stakeholders Relationship Committee	27/10/2020	3	3	100
24	Stakeholders Relationship Committee	27/01/2021	4	3	75

### For Cummins India Limited

**Vinaya Joshi**  
**Company Secretary**  
**Membership no. A25096**

Cummins India Limited  
Registered Office  
Cummins India Office Campus  
Tower A, 5<sup>th</sup> Floor, Survey No. 21, Balewadi  
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cil.investors@cummins.com

CIN : L29112PN1962PLC012276

Cummins India Limited									
Details in respect of shares held by or on behalf of the FILs/ FPIs.									
Sr. No	Name Of Fil/FPI	Fil/FPI	Folio No/DP Id	Pan No.	Address	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	FPI	IN30343810014255	AADAA7542B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INGEFP084616/CIRCULAR NO. 533	5440	0.002
2	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC- QUANTUM ADVISORS PRIVATE LIMITED	FPI	IN30016710119319	AADCC1811B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INCAFP162716/NA	907824	0.3275
3	CITY OF NEW YORK GROUP TRUST	FPI	IN30016710030167	AAATC8419K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		INUSFP213516/NA	9351	0.0034
4	COAL STAFF SUPERANNUATION SCHEME TRUSTEES LIMITED- BRITISH COAL STAFF SUPERANNUATION SCHEME	FPI	IN30343810006493	AAATB3442K	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INUKFP011814/CIRCULAR NO. 53	2136	0.0008
5	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	FPI	IN30016710128022	AAFCD1235L	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INIRFP127115/NA	5970	0.0022
6	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	FPI	IN30343810016679	AAAAE3543M	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INUSFP172517/CIRCULAR NO 533	31354	0.0113
7	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON- LENDABLE FUND	FPI	IN30343810016687	AAAAE3545P	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INUSFP172617/CIRCULAR NO 533	367846	0.1327
8	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410017712	AABCE6264C	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-US-FP-0315-16/N.A.	29203	0.0105
9	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND	FPI	IN30005410090210	AAATF5391H	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-US-FP-1501-16/NA	46761	0.0169
10	FIDUCIAN INDIA FUND	FPI	IN30005410090365	AAATF2195B	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-AU-FP-1678-16/NA	15503	0.0056
11	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC	FPI	IN30016710131681	AAAJF0103H	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP207315/NA	8126	0.0029
12	FRANKLIN LIBERTYSHARES ICAV - FRANKLIN FTSE INDIA UCITS ETF	FPI	IN30016710157171	AADCF7289G	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INIRFP039619/NA	6592	0.0024
13	GOVERNMENT PENSION FUND GLOBAL	FPI	IN30005410076881	AACCN1454E	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-NO-FP-1305-16/NA	5361847	1.9343
14	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	FPI	IN30016710159933	AAHTA5157H	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP083219/NA	21381	0.0077
15	BLACKROCK AQUILA EMERGING MARKETS FUND	FPI	IN30016710113756	AABTA6155F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUKFP322416/NA	6613	0.0024
16	BVK PERSONALVORSORGE DES KANTONS ZURICH	FPI	IN30343810014327	AACTB5009N	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INCHFP113016/CIRCULAR NO. 533	49344	0.0178

17	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC	FPI	IN30016710041002	AADCC1811B	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INCAFP168316/NA	12973	0.0047
18	DIVERSIFIED EQUITY MASTER PORTFOLIO OF MASTER INVESTMENT PORTFOLIO	FPI	IN30016710141353	AAFTA6662H	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP050917/NA	5772	0.0021
19	EATON VANCE TRUST COMPANY COLLECTIVE INVESTMENT TRUST FOR EMPLOYEE BENEFIT PLANS-EATON VANCE TRUST COMPANY/PARAMETRIC EMERGING MARKETS EQUITY FUND	FII	IN30016710062102	AAATE3261D	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	IN-US-FD-2186-09/NA	14448	0.0052
20	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	FPI	IN30005410013410	AACCD1644G	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0314-16/N.A.	338694	0.1222
21	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	FPI	IN30343810017116	AAAAE5365K	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP176217/CIRCULAR NO 533	283775	0.1024
22	ISHARES INDIA SC MAURITIUS COMPANY	FPI	IN30005410104453	AACCI7987F	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-MU-FP-0503-15/NA	226562	0.0817
23	J P MORGAN FUNDS	FPI	IN30343810006522	AABCI4972E	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INLUPF276616/CIRCULAR NO. 533	410046	0.1479
24	JAPAN TRUSTEE SERVICES BANK, LTD. STB DAIWA INDIA STOCK ACTIVE MOTHER FUND	FPI	IN30014210626667	AAATJ9725H	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INJPFP024016/A.P. (DIR SERIES) CIRCULAR NO. 112	475000	0.1714
25	KUBER CAPITAL FUND	FPI	IN30179910087369	AAHCK1694G	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INMUFP004119/ NA	1162	0.0004
26	HSBC INDEX TRACKER INVESTMENTS FUNDS FTSE ALL-WORLD INDEX FUND	FPI	IN30014210775758	AAECH8780P	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INUKFP090420/A.P. DIR SER CIR NO 112	6149	0.0022
27	ISHARES CORE EMERGING MARKETS MAURITIUS CO	FPI	IN30005410105077	AADCI1923N	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-MU-FP-0520-15/NA	1140469	0.4114
28	ISHARES MSCI EM SMALL CAP UCITS ETF	FPI	IN30005410105132	AADCI3486J	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-IR-FP-2571-16/NA	38193	0.0138
29	JAPAN TRUSTEE SERVICES BANK, LTD STB DAIWA PREMIER INDIA EQUITY MOTHER FUND	FPI	IN30014210680202	AABTJ2112P	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INJPFP023816/A.P.(DIR SERIES) CIRCULAR NO. 112	87779	0.0317
30	JAPAN TRUSTEE SERVICES BANK, LTD. STB STATE BANK OF INDIA-INDIA EQUITY MOTHER FUND	FPI	IN30014210616618	AAATJ9268M	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INJPFP025016/A.P. (DIR SERIES) CIRCULAR NO 112	29000	0.0105
31	K INDIA OPPORTUNITIES FUND LIMITED- CLASS B	FPI	IN30317320069433	AAFCK1911Q	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	INMUFP097717/ NA	6867	0.0025

32	LAERERNES PENSION FORSIKRINGSAKTIESELSKAB	FPI	IN30343810018012	AADCL7562Q	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDNFP082018/CIRCULAR NO. 533	27000	0.0097
33	LEGAL & GENERAL GLOBAL EMERGING MARKETS INDEX FUND	FPI	IN30005410041602	AABTT8331L	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-UK-FP-2176-16/NA	26015	0.0094
34	SCOTTISH WIDOWS INVESTMENT SOLUTIONS FUNDS ICVC - FUNDAMENTAL LOW VOLATILITY INDEX EMERGING MARKETS EQUITY FUND	FPI	IN30016710140060	AAXCS8345Q	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUKFP264416/NA	6507	0.0023
35	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	FPI	IN30016710045319	AAHTS4805N	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP131616/NA	80193	0.0289
36	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	FPI	IN30016710132344	AAPTS8365R	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP234015/NA	2532	0.0009
37	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	FPI	IN30016710055675	AAITS0342K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP022816/NA	62435	0.0225
38	DFA INTERNATIONAL CORE EQUITY FUND	FPI	IN30152430049717	AABTD3438N	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	INCAFP268915/ NA	10442	0.0038
39	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	FPI	IN30005410112036	AADTD6744K	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0827-20/ NA	92	0
40	FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE ASIA EX JAPAN ETF	FPI	IN30016710147609	AAATF6339F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP003418/NA	564	0.0002
41	GENERAL ORGANIZATION FOR SOCIAL INSURANCE	FPI	IN30005410086325	AAAGG0503K	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-SD-FP-0899-15/ NA	133015	0.048
42	INDIA MULTI BAGGER FUND I	FPI	IN30371911053479	AAECI1626H	C/O GFIN CORPORATE SERVICES LTD, LEVEL 6, GFIN TOWER 42 HOTEL STREET CYBERCITY EBENE MAURITIUS	INMUFP182416/ NA	22500	0.0081
43	INVESCO INDIA ETF	FPI	IN30014210762552	AADTP7308M	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INUSFP036518/A.P. (DIR SERIES) CIRCULAR NO 112	20898	0.0075
44	JF INDIA EQUITY ACTIVE OPEN MOTHER FUND	FPI	IN30014210572017	A AFFJ2490Q	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INJPFP091316/A.P.(DIR SERIES) CIRCULAR NO 112	72441	0.0261
45	JPMORGAN INDIA FUND	FPI	IN30014210775573	AAATJ0053C	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INMUFP054316/A.P. DIR SER CIR NO 112	483975	0.1746
46	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	FPI	IN30005410009298	AAATL3381R	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-1757- 17/EC.CO.FII/691/11.01.02(723)/2003-04	192	0.0001
47	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	FPI	IN30016710124393	AAJCM5945N	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP033015/NA	18950	0.0068

48	MERCER UCITS COMMON CONTRACTUAL FUND - NFU MUTUAL GLOBAL REGIONAL CORE FUND	FPI	IN30016710158703	AAGTM2759J	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP060519/NA	14086	0.0051
49	MGI EMERGING MARKETS EQUITY FUND-DIMENSIONAL FUND ADVISORS LTD.	FPI	IN30016710109545	AAHCM6849F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP300816/NA	1366	0.0005
50	ACTIVE EMERGING MARKETS EQUITY FUND	FPI	IN30005410091124	AABTB3889P	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-CA-FP-1696-15/NA	1159219	0.4182
51	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPITALIZATION FUND	FPI	IN30016710020518	AAATA5708K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP274716/NA	550000	0.1984
52	BLACKROCK COLLECTIVE INVESTMENT FUNDS - ISHARES EMERGING MARKETS EQUITY INDEX FUND (UK)	FPI	IN30016710140891	AADTB8741N	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUKFP336316/NA	79329	0.0286
53	BNP PARIBAS ARBITRAGE	FPI	IN30179910081740	AAGFB5324G	BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE, MAKER MAXITY BKC, BANDRA EAST, MUMBAI	INFRFP085515/ NA	4872	0.0018
54	BNP PARIBAS ARBITRAGE - ODI	FPI	IN30179910088827	AAGFB5324G	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INFRFP006620/ NA	2711	0.001
55	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	FPI	IN30016710032725	AAATC6038J	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP111015/NA	270119	0.0974
56	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	FPI	IN30016710100511	AABCE7501L	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP027716/NA	27035	0.0098
57	FRANKLIN TEMPLETON INVESTMENT FUNDS	FPI	IN30343810004305	AABCT5310J	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INLUF323416/CIRCULAR NO. 533	584126	0.2107
58	INTERNATIONAL MONETARY FUND - MANAGER A	FPI	IN30005410112315	EXEMPTCATG	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-2878-16/ NA	6531	0.0024
59	ISHARES CORE MSCI EM IMI UCITS ETF	FPI	IN30005410105204	AADCI7836E	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-IR-FP-0734-15/NA	230945	0.0833
60	ISHARES EMSC MAURITIUS CO	FPI	IN30005410104429	AACCI5902Q	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-MU-FP-0519-15/NA	40040	0.0144
61	JARDINE FLEMING INT'L MGT. INC.	FII	B094025	NA	CITIBANK N.A. (CUSTODY SERVICES) C/O SULTANALLY'S BUSINESS CENTRE BARODAWALA MANSION, DR ANNIE BEASANT RD. WORLI, BOMBAY	NA	4725	0.0017
62	JASSIM ALMARZOOQI	FPI	IN30081210505109	CWKPA4689M	VILLA 60- ROUNDABOUT 7 AND 80 SHAKHBOUT CITY, PO BOX 3235 ABU DHABI UNITED ARAB EMIRATES	INUEFP051118/ NA	250	0.0001
63	BLACKROCK GLOBAL FUNDS GLOBAL SMALLCAP FUND	FPI	IN30016710023635	AACCM3444P	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INLUF210016/NA	1068	0.0004
64	CF DV ACWI EX-U.S. IMI FUND	FPI	IN30016710148961	AACTC2858A	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP018918/NA	880	0.0003

65	COPTHALL MAURITIUS INVESTMENT LIMITED - ODI ACCOUNT	FPI	IN30343810000062	AAACC4303M	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INMUFP001717/CIRCULAR NO. 533	182617	0.0659
66	EB DV ACWI EX-U.S. SMALL CAP FUND	FPI	IN30016710112575	AAATE5261B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP136316/NA	6327	0.0023
67	EMERGING MARKETS ALPHA TILTS- ENHANCED FUND	FPI	IN30343810018359	AAATE8383L	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INUSFP227117/CIRCULAR NO. 533	49420	0.0178
68	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	FPI	IN30005410097678	AAECE9320Q	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-US-FP-0240-18/NA	16696	0.006
69	FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE INDIA ETF	FPI	IN30016710147594	AAATF6338E	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP003618/NA	3332	0.0012
70	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	FPI	IN30152430051010	AAFCG0345N	STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA		INSGFP097519/ NA	19436	0.007
71	HOSPITAL AUTHORITY PROVIDENT FUND SCHEME	FPI	IN30005410058930	AAATH9859C	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-HK-FP-0109-14/NA	79857	0.0288
72	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	FPI	IN30005410080999	AAATJ9049G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-US-FP-1649-15/NA	3376	0.0012
73	OPSEU PENSION PLAN TRUST FUND	FPI	IN30005410043327	AAATO1167G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-CA-FP-2153-15/NA	43726	0.0158
74	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	FPI	IN30016710135343	AAATO2984K	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP014216/NA	4401	0.0016
75	PGGM WORLD EQUITY II B. V.	FPI	IN30005410039005	AAFCP2562E	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-NL-FP-2637-16/NA	81117	0.0293
76	2452991 ONTARIO LIMITED	FPI	IN30016710132703	AAACZ8405H	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INCAFP247815/NA	423447	0.1528
77	BLACKROCK ADVANTAGE ESG EMERGING MARKETS EQUITY FUND OF BLACKROCK FUNDS	FPI	IN30343810021571	AAETB2509D	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI		INUSFP098020/CIRCULAR NO.533	980	0.0004
78	CI WISDOMTREE EMERGING MARKETS DIVIDEND INDEX ETF	FPI	IN30014210775276	AAATW4340F	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI		INCAFP148117/A.P. DIR SER CIR NO 112	1617	0.0006
79	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	FPI	IN30005410032536	AABTC0471C	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-US-FP-0652-14/NA	8581	0.0031
80	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	FPI	IN30016710049693	AAACC9040K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		INUSFP083617/NA	94103	0.0339
81	DFA INTERNATIONAL VECTOR EQUITY FUND	FPI	IN30152430049709	AABTD3519Q	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI		INCAFP268815/ NA	8612	0.0031

82	HSBC POOLED INVESTMENT FUND - HSBC POOLED VC ASIA PACIFIC EX JAPAN EQUITY FUND	FPI	IN30014210712481	AAAAH5955J	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INHKFP132517/A.P.(DIR SERIES) CIRCULAR NO.112	329529	0.1189
83	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	FPI	IN30005410085015	AACTN1585B	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0952-15/NA	129178	0.0466
84	PUB EQUITIES EMERGING MARKETS 2	FPI	IN30343810015477	AACTP9573F	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INCHFP001417/CIRCULAR NO. 533	39905	0.0144
85	SCHRODER INDIA EQUITY FUND	FPI	IN30343810018367	ABBCS1502J	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUKFP014319/CIRCULAR NO. 533	8954	0.0032
86	STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR DE DETAILHANDEL	FPI	IN30005410101813	AAVCS0129E	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-NL-FP-0236-19/NA	38062	0.0137
87	TATA INDIAN SHARIA EQUITY FUND	FPI	IN30152430051534	AADCT3796N	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	INMUFP029620/ NA	51726	0.0187
88	LOBCO LIMITED	FPI	IN30371911040112	AADCL3788Q	Tower 3, Wing B, Kohinoor City Mall, Kohinoor City,Kirol Road Kurla(W) MUMBAI MAHARASHTRA	INIMFP196717/ NA	300000	0.1082
89	MSCI ACWI EX-U.S. IMI INDEX FUND B2	FPI	IN30343810019275	AAJAM5506D	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP062119/CIRCULAR NO. 533	14002	0.0051
90	OP-INDIA FUND	FPI	IN30005410098011	AAAAO9890P	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-FL-FP-0085-18/NA	78962	0.0285
91	PEOPLE'S BANK OF CHINA	FPI	IN30016710121650	AAAJP1319B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INCNFP043014/NA	132778	0.0479
92	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	FPI	IN30343810012152	AAATP5186E	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP130016/CIRCULAR NO. 533	37225	0.0134
93	SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND	FPI	IN30343810006941	AAETS8177Q	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUKFP044415/CIRCULAR NO.53	11733	0.0042
94	SMALLCAP WORLD FUND, INC	FII	IN30016710020915	AABCS3581L	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP026316/NA	3000000	1.0823
95	UTAH STATE RETIREMENT SYSTEMS	FPI	IN30005410023614	AAATU2901Q	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-2719-16/N.A.	21778	0.0079
96	VANGUARD FTSE ASIA EX JAPAN HIGH DIVIDEND YIELD INDEX ETF	FPI	IN30016710120500	AABTV8768P	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INHKFP006714/NA	1641	0.0006
97	CARNEGIE INDIENFOND	FPI	IN30014210525056	AABCH4401Q	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INSEFP093115/A.P(DIR SERIES) CIRCULAR NO 112	200468	0.0723
98	EMERGING MARKETS TARGETED VALUE PORTFOLIO	FPI	IN30005410100216	AAFCE2416E	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0735-18/NA	18225	0.0066



99	IBM DIVERSIFIED GLOBAL EQUITY FUND	FPI	IN30005410017544	AAATIS372H	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-IR-FP-1747-17/N.A.	5306	0.0019
100	INDIA MIDCAP (MAURITIUS) LTD.	FPI	IN30014210694504	AACCI2898H	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI		INMUF213816/A.P.(DIR SERIES) CIRCULAR NO.112	259502	0.0936
101	IOWA PUBLIC EMPLOYEES' RETIREMENT SYSTEM - UBS ASSET MANAGEMENT (AMERICAS) INC	FPI	IN30016710151940	AAATI6910K	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP054018/NA	19945	0.0072
102	ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	FPI	IN30005410106088	AAFCI0124F	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-IR-FP-0680-18/NA	38542	0.0139
103	JAPAN TRUSTEE SERVICES BANK, LTD STB DAIWA INDO KABU MOTHER FUND	FPI	IN30014210607182	AAATJ8742J	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI		INJPFP024216/A.P. (DIR SERIES) CIRCULAR NO. 112	51500	0.0186
104	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	FPI	IN30005410004986	AABCL0025A	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-UK-FP-1156-17/EC.CO.FII/3481/11.01.02(625)/2000-2001	213038	0.0769
105	MORGAN STANLEY ASIA (SINGAPORE) PTE.	FPI	IN30005410109192	AAECM3575F	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-SG-FP-0966-19/ NA	2154	0.0008
106	MOTILAL OSWAL INDIA FUND	FPI	IN30005410088600	AADCI7312K	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI		IN-MU-FP-0443-15/NA	50791	0.0183
107	NH-CA INDIA FORTE EQUITY INVESTMENT TRUST	FPI	IN30014210636363	AABTN0433F	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI		INSKFP148916/A.P.(DIR SERIES) CIRCULAR NO.112	3095	0.0011
108	PARAMETRIC EMERGING MARKETS FUND	FPI	IN30016710023713	AAATE2415K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		INUSFP158215/NA	12800	0.0046
109	ABU DHABI INVESTMENT AUTHORITY - BEHAVE	FPI	IN30343810005898	AAACA4380N	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI		INUEFP010117/CIRCULAR NO.533	822900	0.2969
110	ACM INDIA FOCUS FUND LTD.	FPI	IN30134820019400	AAICA9126A	ICICI BANK LTD. SMS DEPT., 1ST FLOOR 414, EMPIRE COMPLEX, S. B. MARG LOWER PAREL, MUMBAI.		INMUF016315/N.A.	4808	0.0017
111	ALASKA PERMANENT FUND	FPI	IN30016710021055	AADTA7800K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		INUSFP117816/NA	18711	0.0068
112	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	FPI	IN30016710160958	AAHTA6005C	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP004020/NA	4689	0.0017
113	CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC 1	FPI	IN30016710161102	AFFC0559D	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INUSFP000920/NA	26669	0.0096
114	CAZENOVE FUND MANAGEMENT LIMITED A/C CAZENOVEINDIAN PORTFOLIO LIMITED	FII	IN30012610007731	NA	HDFC BANK LIMITED,CUSTODY OPERATIONS EMPIRE PLAZA TWR-1, 4TH FLOOR CHANDAN NAGAR LBS MARG,VIKROLI WEST MUMBAI		IN-UK-FA-372-96/E.C.CO.FII/14128/11.01.02/95-96	315	0.0001

115	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	FPI	IN30005410067527	AABTD2064N	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-AU-FP-0321-16/NA	2709	0.001
116	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FPI	IN30016710156689	AACCD1506B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUKFP032216/ NA	24504	0.0088
117	FIRST COMMERCIAL BANK IN ITS CAPACITY AS MASTER CUSTODIAN OF CAPITAL INDIA MEDIUM AND SMALL CAP EQUITY FUND	FPI	IN30005410103862	AABTC2852M	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-TW-FP-1608-16/NA	52000	0.0188
118	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	FPI	IN30343810011537	AAATF4143K	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP242515/CIRCULAR NO.533	2280	0.0008
119	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	FPI	IN30343810006451	AABTB6669D	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INAUFP233415/CIRCULAR NO. 533	16148	0.0058
120	JP MORGAN INDIAN INVESTMENT COMPANY (MAURITIUS) LIMITED	FPI	IN30343810008654	AAACF0626J	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INMUFP006017/CIRCULAR NO.533	538242	0.1942
121	UNISUPER LIMITED AS TRUSTEE FOR UNISUPER	FPI	IN30179910082244	AABFU9541M	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INAUFP082717/NA	29279	0.0106
122	UTI SPECTRUM FUND	FPI	IN30016710053712	AABCU0174B	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INMUFP203315/NA	3000	0.0011
123	VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE ALL-WORLD UCITS ETF	FPI	IN30014220770718	AAECV0901E	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INIRFP072917/A.P. (DIR SERIES) CIRCULAR NO 112	8377	0.003
124	VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE EMERGING MARKETS UCITS ETF	FPI	IN30014220770700	AAECV0884E	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INIRFP072617/A.P. (DIR SERIES) CIRCULAR NO 112	42207	0.0152
125	VANGUARD INVESTMENTS FUNDS ICVC- VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	FPI	IN30016710150969	AAGCV3937J	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUKFP043018/NA	6840	0.0025
126	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	FPI	IN30005410102206	AAACW7548L	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-MU-FP-0800-15/NA	147719	0.0533
127	K INDIA OPPORTUNITIES FUND LIMITED - CLASS E	FPI	IN30317320069441	AAFCK1911Q	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	INMUFP097517/ NA	14808	0.0053
128	KUWAIT INVESTMENT AUTHORITY FUND F239	FPI	IN30005410108384	AAATK3858Q	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-KU-FP-0617-19/ NA	489600	0.1766
129	MANAGED PENSION FUNDS LIMITED	FPI	IN30016710046959	AAFCM5744B	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUKFP133617/NA	79167	0.0286
130	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	FPI	IN30014210743212	AABTP6710H	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INUSFP216615/A.P.(DIR SERIES) CIRCULAR NO.112	3429	0.0012

131	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	FPI	IN30016710128284	AAPTS4453E	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP143615/NA	13447	0.0049
132	STICHTING SHELL PENSIOENFONDS	FPI	IN30343810006932	AACTS7576B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INNLFPO23317/CIRCULAR NO.533	22023	0.0079
133	SUNDARAM INDIA MIDCAP FUND	FPI	IN30014210736306	AAMTS8891B	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INSGFP001414/A.P.(DIR SERIES) CIRCULAR NO. 112	160917	0.0581
134	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013268	AAATD7768G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0339-16/NA	296857	0.1071
135	THRIVENT PARTNER WORLDWIDE ALLOCATION FUND AS MANAGED BY ABERDEEN ASSET MANAGERS LIMITED	FPI	IN30016710053046	AABTT5193Q	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP174817/NA	4331	0.0016
136	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	FPI	IN30343810016654	AAATY0918K	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP068617/CIRCULAR NO 533	1688342	0.6091
137	VANGUARD FTSE ALL-WORLD EX-US INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	FPI	IN30016710032807	AAATV8899E	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP072417/NA	173904	0.0627
138	VANGUARD MODERATE GROWTH FUND	FPI	IN30005410092965	AACTV3427G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-HK-FP-0040-17/NA	11147	0.004
139	PUB EQUITIES EMERGING MARKETS 1	FPI	IN30343810015452	AACTP9572E	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INCHFP001517/CIRCULAR NO. 533	20677	0.0075
140	ROBECO CAPITAL GROWTH FUNDS	FPI	IN30343810017868	AABCR7831A	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INLUF233015/CIRCULAR NO 533	25277	0.0091
141	SCHRODER INTERNATIONAL SELECTION FUND EMERGING MULTI-ASSET INCOME	FPI	IN30343810016293	AAZCS3905N	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INLUF239417/CIRCULAR NO. 533	4293	0.0015
142	SOCIETE GENERALE - ODI	FPI	IN30378610007557	AABCS7484C	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI	INFRFP100119/ NA	15605	0.0056
143	SPDR S AND P EMERGING MARKETS ETF	FPI	IN30016710031520	AAGTS4584D	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP131416/NA	24968	0.009
144	THRIVENT INTERNATIONAL ALLOCATION PORTFOLIO	FPI	IN30016710061999	AACCT8887F	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP063315/NA	8980	0.0032
145	VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE ALL-WORLD HIGH DIVIDEND YIELD UCITS ETF	FPI	IN30014220770726	AAECV4830G	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	INIRFP072517/A.P. (DIR SERIES) CIRCULAR NO 112	7498	0.0027
146	VIRGINIA RETIREMENT SYSTEM	FPI	IN30016710130865	AAATV3654P	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP158315/NA	7768	0.0028
147	WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND FUND	FPI	IN30005410103209	AAATW3457F	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0391-15/NA	547519	0.1975

148	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074841	AABCW4401F	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0216-16/NA	16181	0.0058
149	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI	FPI	IN30016710021264	AADCP8726G	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP051117/NA	2372	0.0009
150	SHINSEI UTI INDIA FUND (MAURITIUS) LIMITED	FPI	IN30016710030184	AAKCS4031N	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INMUFP201115/NA	37400	0.0135
151	TRUSTEES OF THE MINEWORKERS' PENSION SCHEME LIMITED- MINEWORKERS' PENSION SCHEME	FPI	IN30343810006602	AAATM5209P	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUKFP002915/CIRCULAR NO. 53	5640	0.002
152	UBS (US) GROUP TRUST	FPI	IN30016710151319	AAATU2988B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP226916/NA	12778	0.0046
153	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	FPI	IN30343810020249	AADTV1640C	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP012120/CIRCULAR NO.533	473943	0.171
154	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074905	AABCW4402G	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0317-16/NA	9407	0.0034
155	PA UCITS - PADMA INDIA FUND	FPI	IN30014210774601	AALCP4875G	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INLUFP077920/A.P. DIR SER CIR NO 112	15100	0.0054
156	PGGM WORLD EQUITY B. V.	FPI	IN30005410036973	AAFCP0934N	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-NL-FP-2656-16/N.A.	187583	0.0677
157	REASSURE LIMITED	FPI	IN30014210773741	AAKCR3582R	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INUKFP080119/A.P. DIR SER CIR NO 112	3815	0.0014
158	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	FPI	IN30016710080770	AAJTS4147D	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP023316/NA	168002	0.0606
159	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	FPI	IN30016710047132	AAHTS6002P	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP022916/NA	34594	0.0125
160	SUNSUPER SUPERANNUATION FUND	FPI	IN30016710096503	AAKTS6613F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INAUFP165017/NA	31068	0.0112
161	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410025384	AACCT9049F	CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-0316-16/NA	6720	0.0024
162	UBS ASSET MANAGEMENT LIFE LTD	FPI	IN30343810016531	AACCU1570G	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUKFP010018/CIRCULAR NO 533	13262	0.0048
163	VANGUARD ASIA EX JAPAN SHARES INDEX FUND	FPI	IN30343810013784	AACTV0827C	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INAUFP243315/CIRCULAR NO. 533	5382	0.0019

164	VANGUARD FTSE ASIA EX JAPAN INDEX ETF	FPI	IN30016710112069	AABTV7353G	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INHKKFP084016/NA	1514	0.0005
165	VANGUARD INCOME FUND	FPI	IN30005410092973	AACTV3428K	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-HK-FP-0020-17/NA	532	0.0002
166	JPMORGAN INDIA SMALLER COMPANIES FUND	FPI	IN30014210601964	AABTJ6237F	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INMUFP192515/A.P.(DIR SERIES) CIRCULAR NO 112	103304	0.0373
167	KOTAK FUNDS - INDIA MIDCAP FUND	FPI	IN30014210753517	AAGCK4148L	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	INLUFP336616/A.P. (DIR SERIES) CIRCULAR NO 112	1410900	0.509
168	KUWAIT INVESTMENT AUTHORITY FUND 226	FPI	IN30005410056127	AAATK3858Q	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-KU-FP-1458-17/N A	657744	0.2373
169	MERCER EMERGING MARKETS FUND	FPI	IN30016710162041	AAGTM2989J	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INCAFP014820/NA	4459	0.0016
170	MONETARY AUTHORITY OF SINGAPORE ACCOUNT 9	FPI	IN30005410107890	AAJCM3008N	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-SG-FP-0419-19/NA	30887	0.0111
171	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	FPI	IN30005410038629	AABTN0934H	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-US-FP-2461-15/NA	4320	0.0016
172	Q INDIA EQUITY FUND LIMITED	FII	IN30014210660530	AAACQ1373K	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INMUFP205817/A.P.(DIR SERIES) CIRCULAR NO. 112	54427	0.0196
173	SCHRODER INTERNATIONAL SELECTION FUND INDIAN OPPORTUNITIES	FPI	IN30343810011336	AATCS1639R	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INLUFP024214/CIRCULAR NO.53	57430	0.0207
174	SCHWAB EMERGING MARKETS EQUITY ETF	FPI	IN30016710068038	AAITS7338F	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP200617/NA	153354	0.0553
175	SOCIETE GENERALE	FPI	IN30378610000836	AABCS7484C	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI	INFRFP020917/A.P.(DIR SERIES) CIRCULAR NO 53	5	0
176	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	FPI	IN30016710121383	AAUCS9527P	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP026114/NA	19051	0.0069
177	STATE STREET IRELAND UNIT TRUST STATE STREET IUT EMERGING MARKET EQUITY INDEX FUND	FPI	IN30016710131729	AAPTS9525B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INIRFP216215/NA	4705	0.0017
178	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	FPI	IN30343810018480	AACTV9551H	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP022719/CIRCULAR NO. 533	54002	0.0195
179	VANGUARD TOTAL WORLD STOCK INDEX FUND	FPI	IN30016710047358	AABTV0279R	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	INUSFP067417/NA	42788	0.0154

180	STICHTING PENSIOENFONDS RAIL & OPENBAAR VERVOER	FPI	IN30014210565215	AAJCS3620C	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	INNLFPP198216/A.P.(DIR SERIES) CIRCULAR NO 112	8750	0.0032
181	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	FPI	IN30016710146165	AADCT1007C	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP242917/NA	5514	0.002
182	THRIVENT CORE EMERGING MARKETS EQUITY FUND	FPI	IN30016710160302	AADTT6901G	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INUSFP093619/NA	39849	0.0144
183	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	FPI	IN30343810003257	AABTV0442N	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INUSFP101917/CIRCULAR NO.533	1206001	0.4351
184	VARANIUM INDIA OPPORTUNITY LTD	FPI	IN30378610000359	AAFCP1017J	SBI SG GLOBAL SECURITIES SERVICE P L JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S.V. ROAD, SANTACRUZ W MUMBAI	INMUFP067814/A.P.(DIR SERIES) CIRCULAR NO 53	431	0.0002
185	WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF	FPI	IN30005410103637	AABCW5937G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-IR-FP-0845-15/NA	8745	0.0032

GENERATED ON : 05/07/2021

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
21/05/2020	1	175	000002	00010370	ABDUL HAFIZ MOHAMED	IEPF2017	IEPF2017
21/05/2020	1	1320	000002	00010495	JIMMY PHIROZE FRAMJE	IEPF2017	IEPF2017
21/05/2020	1	840	000002	0A018414	AMOL KARNAD	IEPF2017	IEPF2017
21/05/2020	1	420	000002	0K003910	KRISHAN P KAPOOR	IEPF2017	IEPF2017
21/05/2020	1	2520	000002	0K007273	KUMARIL HIRALAL GENG	IEPF2017	IEPF2017
21/05/2020	1	14	000002	0H010214	HARISH KIYAL	IEPF2017	IEPF2017
21/05/2020	1	7	000002	0N018021	N. RENGANATHAN	IEPF2017	IEPF2017
21/05/2020	1	7	000002	0P021932	PARVEZ SHAIKH	IEPF2017	IEPF2017
21/05/2020	1	560	000002	0S005032	SHYAM SUNDER GUPTA	IEPF2017	IEPF2017
21/05/2020	1	100	000002	0S011318	SURENDRA PRASAD DAGA	IEPF2017	IEPF2017
21/05/2020	1	105	000002	0S014864	SIPRA SEAL	IEPF2017	IEPF2017
21/05/2020	1	1050	000002	0S022140	SUSHMA GOEL	IEPF2017	IEPF2017
21/05/2020	1	175	000002	0U002677	URMILABEN SHAH	IEPF2017	IEPF2017
21/05/2020	1	1750	000002	0V006561	VASANT C PATEL	IEPF2017	IEPF2017
21/05/2020	1	14	000002	0V017406	VIKASH KIYAL	IEPF2017	IEPF2017
21/05/2020	1	1575	000002	0S046316	SHASHIKALA POTNIS	IEPF2017	IEPF2017
07/08/2020	1	250	000002	0C009374	CHETAN VRAJLAL SHAH	00011118	CHETAN VRAJLAL SHAH
07/08/2020	1	60	000002	0R003991	RASIKLAL RAMANLAL DO	00011119	KALPESH RASIKLAL DOS
25/08/2020	1	375	000002	00010966	DILIP LOKRAY	00011055	SNEHALATA DILIP LOKR
21/09/2020	1	315	000002	0A019151	ASHOK BABULAL CHAWAN	IEPF2017	IEPF2017
21/09/2020	1	700	000002	0A025933	ARUN SUBRAHMANYAM	IEPF2017	IEPF2017
21/09/2020	1	105	000002	0A006149	AMITA ARORA	IEPF2017	IEPF2017
21/09/2020	1	70	000002	0R015212	RAJESH CHIMANLAL SAN	IEPF2017	IEPF2017
21/09/2020	1	2100	000002	0S024959	SARVADAMAN HASMUKH S	IEPF2017	IEPF2017
13/10/2020	1	1680	000002	0J004904	JAGDISH GOVIND DHARN	00011120	MRS JAYASHRI JAGADIS
17/11/2020	1	450	000002	0H004524	HOMAI SAM KARKARIA	00011121	HOMAI SAM KARKARIA
17/11/2020	1	500	000002	0K013982	KHORSHEED T KAPADIA	00011121	MRS HOMAI SAM KARKAR
17/11/2020	1	750	000002	0T001898	TEHMURAS DINSHAW KAP	00011121	MR HOMAI S KARKARIA
24/11/2020	1	420	000002	0B092359	EASTERN GANGES CORPN	00011122	EASTERN GANGES CORPO
14/12/2020	1	525	000002	0Z000656	ZAL SAAM COOPER	00011123	ZAL SAAM COOPER
16/12/2020	1	4200	000002	00011031	SAROJ MANSUKHALAL PA	00011124	VIKAS MANSUKHLAL PAR
28/12/2020	1	3150	000002	0D004082	DEEPAK TULSIDAS BHUT	00011125	DEEPAK TULSIDAS BHUT
20/01/2021	1	700	000002	0M027531	MANISH VRAJLAL SHAH	00011126	MANISH VRAJLAL SHAH
28/01/2021	1	240	000002	0S006985	SUNDAR DEVI PUGALIA	00011127	ARUN KUMAR PUGALIA
01/02/2021	1	980	000002	0D012743	DARSHAN KAUR	00011128	DARSHAN KAUR
01/02/2021	1	350	000002	0P021487	PRATIMA P. NAYAK	00011129	PRATIMA P NAYAK
22/02/2021	1	1820	000002	0J013326	JAYASIL VISWANATH PA	00011130	MRS. ILA CHANDRASHEK

## **Pramod S. Shah & Associates**

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.  
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### **FORM NO.MGT-8**

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of the Companies (Management and Administration) Rules, 2014]*

### **CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

We have examined the registers, records and books and papers of **CUMMINS INDIA LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we hereby certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company:
  - 1. has complied with provisions of the Act and Rules made thereunder in respect of its status under the Act;
  - 2. has complied with provisions of the Act and Rules made thereunder in respect of maintenance of registers/ records and making entries therein within the time prescribed thereof;
  - 3. has complied with provisions of the Act and Rules made thereunder in respect of filing of forms and returns, with the Registrar of Companies and other authorities within the prescribed time;
  - 4. has complied with provisions of the Act and Rules made thereunder in respect of calling/ convening/ holding meetings of Board of Directors and its Committees and the meetings of the Members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the attendance/ proceedings including the circular resolutions have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;



5. has closed the Register of Members during the year under review;
6. has not given any advances/loans to its directors and/or persons or firms or companies referred to in section 185 of the Act;
7. has complied with provisions of the Act and Rules made thereunder in respect of contracts/ arrangements with related parties as specified in section 188 of the Act;
8. has not issued or allotted or bought back shares/ altered/ reduced share capital/ converted shares/ securities during the period under review. However, the Company has duly registered the transfer of securities as requested;
9. has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. has complied with the provisions of the Act and Rules made thereunder in respect of declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. has complied with provisions of the Act and Rules made thereunder in respect of signing of audited financial statement as per the provisions of section 134 of the Act and the Report of Directors is as per sub - sections (3), (4) and (5) thereof;
12. has complied with provisions of the Act and Rules made thereunder in respect of constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. has complied with provisions of the Act and Rules made thereunder in respect of appointment/ reappointment of auditors as per the provisions of section 139 of the Act;
14. was not required to take approvals from the Reserve Bank of India (RBI), Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. has not accepted/ renewed/ repaid deposits during the period under review;
16. has not made borrowings from its members and directors, however, borrowings have been made from bank. Company has not created/ modified/ satisfied any charge during the period under review;

17. has not made any loan/ investment or given guarantee as per Section 186 of the Act;
18. has not altered the Memorandum and Articles of Association.

**For Pramod S. Shah and Associates  
(Practising Company Secretaries)**

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**Pramod S. Shah**  
**Partner**  
**Membership No.: 334 (FCS)**  
**C. O. P. No: 3804**  
**UDIN: F000334C000893853**

**Place: Mumbai**  
**Date: 03.09.2021**