

CUMMINS COBALT POLICY

1.0 Purpose:

Cummins Inc. is a global company headquartered in the United States that must comply with laws and regulations where we do business. In areas where there are not laws and regulations, we strive to use industry standard and best practices; this policy relates to the responsible sourcing of cobalt.

This policy lays the framework to have Cummins avoid knowingly using cobalt from sources that support or fund inhumane treatment, including human trafficking, slavery, forced labor, child labor, torture and war crimes in known Conflict Affected and High-Risk Areas (CAHRA).

This policy is not intended to completely ban procurement of cobalt or other products from CAHRAs, but to promote sourcing from responsible sources in the regions.

2.0 Scope

This policy applies to Cummins Inc. entities world-wide, including Cummins subsidiaries, joint ventures, affiliated companies and distributors in which Cummins has a controlling ownership interest or management responsibility.

3.0 References

[Cummins Core Values](#)

[Organization for Economic Co-operation and Development \(OECD\) Guidelines Responsible Minerals Initiative Guidelines](#)

Cummins Human Rights Policy (CCP-0008)

4.0 Policy

Following are the primary tenets of our policy:

4.1 Cummins will make reasonable efforts to:

- a) know and to require that each Cummins supplier disclose to Cummins, the sources of cobalt used in its products; and
- b) to eliminate procurement, as soon as commercially practical, of products containing cobalt obtained from sources that fund or support inhumane treatment from CAHRAs.

4.2 Cummins will monitor legal requirements for responsible sourcing of Cobalt, and will comply accordingly. Cummins will require that our suppliers assist the Company to comply with the requirements for any related laws and rules globally.