

Diagnostic Report

Tax Year : 2020

Return No: 2185OV

Taxpayer: THE CUMMINS FOUNDATION INC.

ID No : 35-6042373

** NO SEVERE DIAGNOSTICS DETECTED **

INFORMATIONAL DIAGNOSTICS : TOTAL 2

FEDERAL (2)

1.FORM 990PF - ADJUSTED QUALIFYING DISTRIBUTION

PLEASE REVIEW THE DOLLAR AMOUNT FOR ADJUSTED QUALIFYING DISTRIBUTION IN PART V, TO ENSURE IT IS CONSISTENT WITH PART XII, LINE 6 FROM THE PRIOR YEAR RETURN.

2.FORM 2220

THE FORM 2220 WILL NOT PRINT PER YOUR UNDERPAYMENT PENALTY COMPUTE OPTION

APPROVED

By LP at 5:37 pm, Nov 03, 2021

* indicates Diagnostic has been suppressed.

Estimated Values Summary Report

Tax Year : 2020

Return No: 2185OV

Taxpayer: THE CUMMINS FOUNDATION INC.

ID No : 35-6042373

Screen Name

Estimated Value

ESTIMATES: NO INFORMATION

Exempt Organization Declaration and Signature for Electronic Filing

For calendar year 2020, or tax year beginning 01/01, 2020, and ending 12/31, 2020

2020

Department of the Treasury Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 990-T, 1120-POL, 4720, and 8868

Go to www.irs.gov/Form8453EO for the latest information.

Name of exempt organization or person subject to tax

Taxpayer identification number

THE CUMMINS FOUNDATION INC.

35-6042373

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return.

Table with 2 columns: Line number and Description. Includes rows for Form 990, Form 990-EZ, Form 1120-POL, Form 990-PF, Form 8868, Form 990-T, and Form 4720. Total revenue for Form 990-EZ is 10,527.

Part II Declaration of Officer or Person Subject to Tax

- 6 I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return...

Under penalties of perjury, I declare that I am an officer of the above named organization or I am the person subject to tax with respect to (name of organization) ... and that I have examined a copy of the 2020 electronic return and accompanying schedules and statements...

Sign Here Signature of officer or person subject to tax Date 11/07/2021 Title, if applicable TREASURER

Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return.

Form for ERO's Use Only. Fields include: ERO's signature, Date, Check if also paid preparer, Check if self-employed, ERO's SSN or PTIN, Firm's name, address, and ZIP code, EIN, Phone no.

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

Form for Paid Preparer Use Only. Fields include: Print/Type preparer's name, Preparer's signature, Date, Check if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, Phone no.

For Privacy Act and Paperwork Reduction Act Notice, see back of form.

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2020

Open to Public Inspection

For calendar year **2020** or tax year beginning , **2020**, and ending , **20**

Name of foundation THE CUMMINS FOUNDATION INC.		A Employer identification number 35-6042373
Number and street (or P.O. box number if mail is not delivered to street address) BOX 3005 M/C 60113	Room/suite	B Telephone number (see instructions) (317) 652-9921
City or town, state or province, country, and ZIP or foreign postal code COLUMBUS, IN 47202-3005		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		D 1. Foreign organizations, check here. <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 45,159,486.		E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	16,616,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	4,685.	4,685.	4,685.	
4 Dividends and interest from securities	745,387.	745,387.	745,387.	
5a Gross rents				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	303,153.			
b Gross sales price for all assets on line 6a 17,709,436.				
7 Capital gain net income (from Part IV, line 2)		40,161.		
8 Net short-term capital gain.			262,992.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 1	495,035.			
12 Total. Add lines 1 through 11	18,164,260.	790,233.	1,013,064.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 2	16,150.			
c Other professional fees (attach schedule) [3]	1,113,930.			
17 Interest				
18 Taxes (attach schedule) (see instructions) [4]	16,000.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	32,920.	32,920.	32,920.	
24 Total operating and administrative expenses. Add lines 13 through 23.	1,179,000.	32,920.	32,920.	
25 Contributions, gifts, grants paid	14,938,473.			15,177,672.
26 Total expenses and disbursements. Add lines 24 and 25	16,117,473.	32,920.	32,920.	15,177,672.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	2,046,787.			
b Net investment income (if negative, enter -0-)		757,313.		
c Adjusted net income (if negative, enter -0-)			980,144.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	929,438.	2,341,228.	2,341,228.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	500.	60,500.	60,500.
	10a	Investments - U.S. and state government obligations (attach schedule). .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule) ATCH 6	42,360,269.	42,700,031.	42,700,031.
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation ▶ _____ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation ▶ _____ (attach schedule)			
15	Other assets (describe ▶ _____ ATCH 7)	61,690.	57,727.	57,727.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	43,351,897.	45,159,486.	45,159,486.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	1,416,741.	1,177,543.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons. .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
23	Total liabilities (add lines 17 through 22)	1,416,741.	1,177,543.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> X and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions	41,935,156.	43,981,943.	
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund.			
	28	Retained earnings, accumulated income, endowment, or other funds . .			
29	Total net assets or fund balances (see instructions)	41,935,156.	43,981,943.		
30	Total liabilities and net assets/fund balances (see instructions)	43,351,897.	45,159,486.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 41,935,156.
2	Enter amount from Part I, line 27a	2 2,046,787.
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 43,981,943.
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 43,981,943.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))			
a						
b						
c						
d						
e						
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	40,161.	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }			3	262,992.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved			
Reserved			
Reserved			
Reserved			
Reserved			
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, credits/payments, and total tax due. Total tax due is 11,090.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, expenditures, and reporting requirements. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.			
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
3 All other program-related investments. See instructions.	
NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	339,774.
b	Average of monthly cash balances	1b	1,411,690.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	1,751,464.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	1,751,464.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	26,272.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,725,192.
6	Minimum investment return. Enter 5% of line 5	6	86,260.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	86,260.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	10,527.
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	10,527.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	75,733.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	75,733.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	75,733.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	15,177,672.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	15,177,672.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	15,177,672.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				75,733.
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.				
b Total for prior years: 20 18 , 20 17 , 20 16				
3 Excess distributions carryover, if any, to 2020:				
a From 2015	8,311,341.			
b From 2016	6,088,279.			
c From 2017	10,662,895.			
d From 2018	12,431,766.			
e From 2019	13,103,406.			
f Total of lines 3a through e	50,597,687.			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 15,177,672.				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2020 distributable amount.				75,733.
e Remaining amount distributed out of corpus.	15,101,939.			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	65,699,626.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	8,311,341.			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	57,388,285.			
10 Analysis of line 9:				
a Excess from 2016	6,088,279.			
b Excess from 2017	10,662,895.			
c Excess from 2018	12,431,766.			
d Excess from 2019	13,103,406.			
e Excess from 2020	15,101,939.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
ATCH 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 10</p>				
Total				▶ 3a 15,177,672.
<p>b <i>Approved for future payment</i></p> <p>ATCH 11</p>				
Total				▶ 3b 1,177,543.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,400,000		NORTHERN TRUST LT CG PROPERTY TYPE: COMMON TRUST FUND 1,383,768				P	VARIOUS 16,232.	VARIOUS
4,677,052		NORTHERN TRUST LT CG- 17-17862 PROPERTY TYPE: COMMON TRUST FUND 4,653,123				P	VARIOUS 23,929.	VARIOUS
11,632,385		NORTHERN TRUST ST CG PROPERTY TYPE: COMMON TRUST FUND 11,369,392				P	01/01/2020 262,993.	11/01/2020
TOTAL GAIN(LOSS)							<u>40,161.</u>	

Schedule of Contributors

2020

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization THE CUMMINS FOUNDATION INC.	Employer identification number 35-6042373
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Organization type (check one):

Filers of:

Section:

- Form 990 or 990-EZ 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE CUMMINS FOUNDATION INC.**

Employer identification number
35-6042373

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CUMMINS INC BOX 3005, M/C 60113 COLUMBUS, IN 47202-3005	\$ 16,616,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE CUMMINS FOUNDATION INC.

Employer identification number

35-6042373

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____

Name of organization THE CUMMINS FOUNDATION INC.

Employer identification number
35-6042373

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
ROYALTY INCOME			
UNREALIZED GAIN/ LOSS ADJUSTMENT	520,257.		
CALL ON BOND INVESTMENTS	-25,222.		
TOTALS	<u>495,035.</u>		

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	16,150.			
TOTALS	<u>16,150.</u>			

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
ADMINISTRATIVE FEES	747,000.
CONSULTANT FEES	366,660.
MEMBERSHIP FEES	270.
TOTALS	<u>1,113,930.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX PAYMENT	16,000.
TOTALS	<u>16,000.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INVESTMENT MANAGEMENT FEES	32,920.	32,920.	32,920.
TOTALS	<u>32,920.</u>	<u>32,920.</u>	<u>32,920.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ATTACHMENT 17	42,700,031.	42,700,031.
TOTALS	<u>42,700,031.</u>	<u>42,700,031.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INTEREST RECEIVABLE	57,727.	57,727.
TOTALS	<u>57,727.</u>	<u>57,727.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
TOM LINEBARGER 301 E. MARKET STREET INDIANAPOLIS, IN 46204	CHAIRMAN			
TONY SATTERTHWAITE 3850 VICTORIA STREET, NORTH M/C - OC300 SHOREVIEW, MN 55126	DIRECTOR			
AVRIL SCHUTTE BOX 3005 - M/C 60911 COLUMBUS, IN 47202-3005	SECRETARY			
WILL MILLER 5 PENN PLAZA NEW YORK, NY 10001	DIRECTOR			
SRIKANTH PADMANABHAN BOX 3005 - M/C 60911 COLUMBUS, IN 47202-3005	DIRECTOR			

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MARK SMITH BOX 3005 - M/C 60911 COLUMBUS, IN 47202-3005	DIRECTOR			
JENNIFER RUMSEY BOX 3005 - M/C 60913 COLUMBUS, IN 47202-3005	DIRECTOR			
LYNDSEY BANNICK BOX 3005 - M/C 91671 COLUMBUS, IN 47202-3005	TREASURER			
MARY CHANDLER 301 E. MARKET STREET INDIANAPOLIS, IN 46204	CEO			
TRACY EMBREE 500 JACKSON STREET COLUMBUS, IN 47201	DIRECTOR			
	GRAND TOTALS	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 9

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ZENAI BROOKS
301 JACKSON STREET
COLUMBUS, IN 47201
317-652-9921

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY
SHOULD INCLUDE:

SEE ATTACHMENT 14

SUBMISSION DEADLINES:

SEE ATTACHMENT 14

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHMENT 14

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SEE ATTACHMENT 15 301 JACKSON STREET COLUMBUS, IN 47201		VARIOUS. SEE ATTACHMENT 15	15,177,672.
TOTAL CONTRIBUTIONS PAID			<u>15,177,672.</u>

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SEE ATTACHMENT 16 301 JACKSON STREET COLUMBUS, IN 47201		VARIOUS. SEE ATTACHMENT 16	1,177,543.
TOTAL CONTRIBUTIONS APPROVED			<u>1,177,543.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
UNREALIZED GAIN ON INVESTMENT					520,257.
CALL ON BOND INVESTMENT					-25,222.
TOTALS					<u>495,035.</u>

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Go to www.irs.gov/F1041 for instructions and the latest information.

OMB No. 1545-0092

2020

Name of estate or trust

THE CUMMINS FOUNDATION INC.

Employer identification number

35-6042373

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? Yes No

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	11,632,385.	11,369,392.		262,993.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2019 Capital Loss Carryover Worksheet.				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				7 262,993.

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	6,077,052.	6,036,891.		40,161.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2019 Capital Loss Carryover Worksheet.				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				16 40,161.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2020

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
17	Net short-term gain or (loss)	17		262,993.
18	Net long-term gain or (loss):			
a	Total for year	18a		40,161.
b	Unrecaptured section 1250 gain (see line 18 of the worksheet.)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19		303,154.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation		
20	Enter here and enter as a (loss) on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4c, if a trust), the smaller of: a The loss on line 19, column (3) or b \$3,000	20 ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 23 (or Form 990-T, Part I, line 11), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 23, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, Part I, line 11, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 23 (or Form 990-T, Part I, line 11)	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,650	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26	32		
33	Enter the smaller of line 21 or \$13,150	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15% (0.15) ▶	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20% (0.20) ▶	41		
42	Figure the tax on the amount on line 27. Use the 2020 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21. Use the 2020 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, Part II, line 2). ▶	45		

Sales and Other Dispositions of Capital Assets

Department of the Treasury
Internal Revenue Service

▶ Go to www.irs.gov/Form8949 for instructions and the latest information.
▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return THE CUMMINS FOUNDATION INC.	Social security number or taxpayer identification number 35-6042373
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Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)	
						(f) Code(s) from instructions	(g) Amount of adjustment		
	NORTHERN TRUST ST CG	01/01/2020	11/01/2020	11632385.	11369392.			262,993.	
2 Totals.	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶				11632385.	11369392.			262,993.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.



The Cummins Foundation Inc.
35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt form
Income Tax for Year Ended 12-31-20

Page 10, Part XV, Line 2 (a) through (d) Information regarding contribution, grant, gift, loan, scholarships, etc. programs:

(a) The name, address, and telephone number of the person to whom applications should be addressed is ZeNai Brooks, 301 Jackson Street, Columbus, Indiana 47201.

(b) through (d) The Foundation's budget year is from January 1 through December 31. The Foundation directors meet four times a year to consider new programs and approve grants. The staff has authority to make grants under \$250,000 and larger grants requiring immediate action may be approved by the Executive Committee between meetings.

Inquiries and proposals may be submitted in writing at anytime during the year. A preliminary proposal should include a brief description of the problem being addressed, outcomes anticipated, the operating budget and additional financial requirements necessary.

The Foundation primarily makes grants in the communities where the Company has business interests. Cummins believes a Company is only as healthy as the communities where we do business. The present global priority areas include: the environment, education and social justice. The Foundation looks for projects where Cummins employees are engaged in making a meaningful and significant community contribution and where possible specific and measurable outcomes have been defined to guide the work.

The Foundation does not support political causes or candidates, or sectarian religious activities. No grants are made to individuals.

The Cummins Foundation, Inc.
35-6042373
Attachment 15 
Part XV - Grants Summary

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2020 Grant Commitment	Project- Short Description	Grant Type
\$ 7,500.00	HEALTH AND SCIENCE INNOVATIONS INC	\$ -	Health & science innovations to make systematic review for the first 2.5 years	Community Engagement
\$ 100,000.00	Camfed	\$ -	Secondary school into the workforce transition program	Strategic Investments
\$ 100,000.00	New York Early Childhood Professional Development Institute	\$0.00	Salary for Quality Improvement Specialist and program materials and supplies	Community Engagement
\$ 20,000.00	FIRST Robotics	\$0.00	Fund Regionals in the Upper Midwest	Community Engagement
	Heritage Fund of Bartholomew County	\$25,000.00	2021 Columbus Bicentennial Fund	Corporate Expectations
\$ 100,000.00	GlobalGiving	\$0.00	Postschool transitions and entrepreneurship	Strategic Investments
\$ 25,000.00	Eagle Creek Park Foundation Inc	\$0.00	Park improvements: equipment, trailhead signage, drainage and tree line	Community Engagement
	Girls Academy	\$ 78,000.00	Expansion of school based mentoring program	Strategic Investments
	Talent Middle School	\$18,853.00	Convert 13 bikes to electric drive for intermediate school science students	Community Engagement
	United Way of Johnson County	\$6.00	2019 - United Way Match - North America	Community Engagement
	United Way of the Midlands	\$96.00	2019 - United Way Match - North America	Community Engagement
	United Way of Madison County, Inc.	\$1,490.00	2019 - United Way Match - North America	Community Engagement
	Brown County United Way	\$1,204.00	2019 - United Way Match - North America	Community Engagement
	United Way of Lee County, Inc.	\$500.00	2019 - United Way Match - North America	Community Engagement
	United Way of Dodge County	\$384.00	2019 - United Way Match - North America	Community Engagement
	United Way of Washington County	\$240.00	2019 - United Way Match - North America	Community Engagement
	United Way of Delaware County, Inc.	\$24.00	2019 - United Way Match - North America	Community Engagement
	Brown County Community Foundation	\$13,401.36	2019 - United Way Match - Indiana	Community Engagement
	Girls Inc. of Tarrant County	\$20,000.00	Support the College & Career campaign for Girls Inc of Tarrant County	Community Engagement
	United Way of Lee, Hendry, Glades and Okeechobee Counties	\$1,000.00	2019 - United Way Match - North America	Community Engagement
	Omaha Against Hunger	\$1,650.00	Packing food for 5,000 meals	Community Engagement
	United Way of Midlands	\$10,400.00	2019 - United Way Match - North America	Community Engagement
	United Way of the Battle Creek and Kalamazoo Region	\$13,276.94	2019 - United Way Match - Indiana	Community Engagement
\$ 100,000.00	National Society of Black Engineers	\$0.00	2020 SEEK summer STEM program in the Greater Twin Cities (Brooklyn neighborhood)	Corporate Expectations
	Boys & Girls Clubs of Indianapolis	\$3,000.00	Boys & Girls Clubs of Indianapolis 2020 Sponsorship Packet	Corporate Expectations
	United Way Worldwide	\$24,925.00	Hygiene, stress relief, financial literacy, bike build, knot blankets and back to school kits	Community Engagement
	Turning Point Domestic Violent Services	\$10,000.00	2020 Dance Marathon Sponsorship	Corporate Expectations
	Variety- The Children's Charity	\$15,000.00	Build-a-bike	Community Engagement
	Career Resource Center of Brown County	\$500.00	Governor's Work Ethic Certificate 2020	Corporate Expectations
	Trident United Way	\$9,792.00	STEM, senior care, baby care, hygiene, snack pack, welcome home kits	Community Engagement
	YMCA	\$10,000.00	Power Scholars	Community Engagement
	GlobalGiving	\$30,995.00	Improve the management capacity of 10 NGOs	Community Engagement
	Westminster Neighborhood Services' Adult and Family	\$20,000.00	Food purchase	Community Engagement
	Pack Away Hunger	\$20,000.00	Food packing	Community Engagement
	People Serving People	\$15,500.00	Kindergarten readiness for children	Community Engagement
	St Susan	\$30,000.00	Box truck	Community Engagement
	United Way Worldwide	\$23,994.00	Bike build and snack packs	Community Engagement
	United Way of Southern Chautauqua County	\$100,000.00	COVID-19 relief	Community Engagement
	United Way Tar River Region	\$50,000.00	COVID-19 relief	Community Engagement
	Down East Partnership for Children	\$50,000.00	COVID-19 relief	Community Engagement
	United Way of Bartholomew County	\$125,000.00	COVID-19 relief	Community Engagement

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Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2020 Grant Commitment	Project- Short Description	Grant Type
	Open Pantry	\$38,084.00	Food storage and shelving	Community Engagement
	GlobalGiving	\$92,565.12	STEM curriculum and mentoring	Community Engagement
	GlobalGiving	\$58,500.00	Prep and distribute food	Community Engagement
	Peace Learning Center	\$70,000.00	Social emotional learning program	Corporate Expectations
	United Way of Massachusetts Bay & Merrimack Valley	\$4,000.00	Hygiene kits	Community Engagement
	Anchor House Inc.	\$20,000.00	COVID-19 relief	Community Engagement
	Human Services Inc.	\$30,000.00	COVID-19 relief	Community Engagement
	Fresno Rescue Mission	\$5,000.00	Hygiene kits	Community Engagement
	Jackson County United Way	\$2,500.00	COVID-19 relief	Community Engagement
	Boys & Girls Club - Seymour	\$25,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$55,000.00	COVID-19 relief-Purchasing 300 hot lunches per day for 45 days	Community Engagement
	Love Chapel Foundation	\$25,000.00	COVID-19 relief	Community Engagement
	United Way of Franklin County, Inc.	(\$5,927.85)	2019 - United Way Match - North America	Community Engagement
	Boys & Girls club of Tar Region	\$50,000.00	COVID-19 relief	Community Engagement
	International Youth Foundation (IYF)	\$25,000.00	IYF Virtual Summer sponsorship	Corporate Expectations
	Alliance for Children	\$5,000.00	Family enrichment kits	Community Engagement
	Neillsville Food Pantry	\$11,000.00	Food support	Community Engagement
	Exodus refugee Immigration Inc	\$20,000.00	COVID-19 relief	Community Engagement
	United Way of Southern Nevada	\$5,000.00	Veteran hygiene kits	Community Engagement
	Wish for Wheels	\$22,000.00	Build bikes for 2nd graders in Title 1 schools	Community Engagement
	UNITED WAY WORLDWIDE	\$55,178.00	Build a bike	Community Engagement
	GlobalGiving	\$10,912.00	COVID-19 relief	Community Engagement
	United Way of Southern Chautauqua County	\$7,000.00	400 backpacks with school supplies	Community Engagement
	Second Harvest Food Bank of Middle Tennessee	\$58,000.00	Food truck and equipment purchase	Community Engagement
	EINSTEIN PROJECT INC	\$25,920.00	Life science and earth space science literacy kits	Community Engagement
	Second Helpings, Inc.	\$15,000.00	COVID-19 relief	Community Engagement
	Carolina Youth Development Center (CYDC)	\$55,000.00	Renovation, expansion, and training	Community Engagement
	GROWING HOME INC	\$14,330.00	Assist with cost related to expanding	Community Engagement
	Metro Center for Community Advocacy	\$11,160.00	Renovation to the Metro Center shelter and offices	Community Engagement
	Food Outreach	\$19,800.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$8,400.00	Community garden that feeds 200 families	Community Engagement
	GlobalGiving	\$15,988.00	Ecological and educational garden for toddlers	Community Engagement
	GlobalGiving	\$46,780.00	Park build	Community Engagement
	UNITED WAY WORLDWIDE	\$19,000.00	Hygiene kits, snack packs, back to school kits, winter care kits	Community Engagement
	Englewood Community Development Corporation	\$25,000.00	COVID-19 relief	Community Engagement
	Women Venture	\$8,000.00	Small Business Essentials program	Community Engagement
\$ 50,000.00	Greater Indianapolis Chamber of Commerce Foundation	\$0.00	Accelerate Indy	Corporate Expectations
	United Way Tar River Region	\$50,000.00	COVID-19 relief	Community Engagement
	Stoughton Area School District	\$15,612.00	Stoughton Fab Lab workshop expansion	Community Engagement
	Whitakers Revitalize and Preserve	\$15,000.00	Park transformation	Community Engagement
	Operation Gratitude Inc	\$20,000.00	Hygiene kits	Community Engagement
	GlobalGiving	\$10,850.00	Women who weld	Community Engagement
	Energy Matters Community Coalition	\$75,000.00	Support CEP Recycling Day for 2020	Community Engagement
	Links Charity	\$24,000.00	Funding for cancer treating equipment	Community Engagement
	GlobalGiving	\$80,770.00	Phase 2 of 2019 entrepreneurial program for women from low-income communities	Community Engagement
	Gleaners	\$50,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$10,780.00	Solar panel replacements	Community Engagement

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	GlobalGiving	\$15,520.00	Training materials and development	Community Engagement	
	GlobalGiving	\$6,239.00	Life skills training classes	Community Engagement	
	GlobalGiving	\$5,440.00	COVID-19 relief	Community Engagement	
	The Children's Museum Guild	\$10,000.00	Sponsorship of Legacy Center Group tickets/costumes	Corporate Expectations	
	Mi Escuelita	\$25,000.00	Pre-school education	Community Engagement	
	Children Inc.	\$7,700.00	Marketing	Community Engagement	
	Easter Seal Society of Iowa Inc.	\$27,731.00	COVID-19 relief	Community Engagement	
	Junior Achievement	\$63,600.00	Capstone programs for 795 students over the next three years	Community Engagement	
	GlobalGiving	\$8,050.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$22,355.08	Improve lighting in school classrooms	Community Engagement	
	GlobalGiving	\$18,957.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement	
	Galesburg Augusta School District	\$29,720.00	STEM programming, robotics, career fair, project Lead the Way	Community Engagement	
	GlobalGiving	\$35,444.00	Mednoe Boarding School "Growth Point" education program	Community Engagement	
	GlobalGiving	\$28,940.00	Tyumen Boarding School for children with disabilities - facility repair & life skills	Community Engagement	
	GlobalGiving	\$5,300.00	COVID-19 relief	Community Engagement	
	Teacher's Treasures	\$10,000.00	COVID-19 relief	Community Engagement	
	Mid-South Food Bank	\$10,000.00	COVID-19 relief	Community Engagement	
	Harvesters the Community Food Network	\$5,000.00	COVID-19 relief	Community Engagement	
\$	50,845.00	Gleaners	\$0.00	Multi-site back sack program and supplies from 2019 - 2021	Community Engagement
\$	19,155.00	Gleaners	\$0.00	Multi-site back sack program and supplies from 2019 - 2021	Community Engagement
	El Pasoans Fighting Hunger	\$29,537.00	Food truck purchase	Community Engagement	
	Bartholomew County Parks and Recreation	\$22,757.00	New pollinator garden at Clifford Park	Community Engagement	
	GlobalGiving	\$8,100.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$20,295.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$24,990.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$42,704.15	COVID-19 relief	Community Engagement	
	GlobalGiving	\$45,000.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$21,605.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$51,467.29	COVID-19 relief	Community Engagement	
	BronxWorks	\$10,000.00	COVID-19 relief	Community Engagement	
	Houston Food Bank	\$10,000.00	COVID-19 relief	Community Engagement	
	United Way of the Quad Cities Area	\$1,937.50	Backpacks with school supplies	Community Engagement	
	GlobalGiving	\$10,611.00	Safety maintenance for kindergarteners	Community Engagement	
	GlobalGiving	\$6,400.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$8,382.60	COVID-19 relief	Community Engagement	
	GlobalGiving	\$9,300.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$6,000.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement	
\$	7,000.00	Iridescent	\$0.00	Curiosity Machine	Community Engagement
	Advocates for Children	\$68,793.54	Headcount to support 2-year process efficiency implementation for CASA	Community Engagement	
	The Greater Indianapolis Progress Committee	\$100,000.00	COVID-19 relief	Corporate Expectations	
	Waymaker Ministries, Inc.	\$10,000.00	COVID-19 relief	Community Engagement	
	Immigrant Welcome Center Inc.	\$20,000.00	COVID-19 relief	Community Engagement	
	Brookings Institution	\$25,000.00	Support for the Center for Universal Education (CUE) at Brookings CUE Leadership Council	Corporate Expectations	

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	Community Provisions of Jackson County	\$5,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$8,765.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$25,000.00	Increase the number of beneficiaries served	Community Engagement
	GlobalGiving	\$5,286.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$6,968.08	COVID-19 relief	Community Engagement
	GlobalGiving	\$10,000.00	COVID-19 relief	Community Engagement
	Family Promise of Grand Rapids	\$10,000.00	COVID-19 relief	Community Engagement
	Community Emergency Assistance Programs	\$10,000.00	COVID-19 relief	Community Engagement
	School on Wheels	\$10,000.00	COVID-19 relief	Community Engagement
	Wisconsin Vietnam Veterans Memorial Project, Inc	\$18,335.00	Veterans memorial park	Community Engagement
	Northwest Harvest	\$10,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$13,100.00	COVID-19 relief	Community Engagement
	Brooklyn Bridge Alliance for Youth	\$10,000.00	COVID-19 relief	Community Engagement
	PEPPARTNERSHIP	\$5,000.00	COVID-19 relief	Community Engagement
	Landmark Columbus Foundation, Inc.	\$15,000.00	Phase 1 of the Columbus Cultural Resource Inventory and Survey Project	Corporate Expectations
	Landmark Columbus Foundation, Inc.	\$250,000.00	Symposium and Exhibit Columbus	Corporate Expectations
	Children Inc	\$10,322.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$27,067.00	Landscaping and girls workshops	Community Engagement
	GlobalGiving	\$4,955.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,010.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,009.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$14,004.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,698.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$6,200.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,500.00	COVID-19 relief	Community Engagement
	Lowcountry Food bank	\$9,500.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$22,410.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$86,794.74	Youth Success - high school graduation program	Community Engagement
	GlobalGiving	\$77,986.82	School hall construction phase 1	Community Engagement
	Teachers Treasures	\$27,025.00	School supplies	Community Engagement
	Westminster Neighborhood Services	\$8,600.00	Uniforms for students	Community Engagement
	United Way of Salt Lake	\$5,000.00	Backpack and school supplies for 650 students	Community Engagement
	Boys & Girls Club of Portland	\$15,000.00	COVID-19 relief	Community Engagement
	Exodus Refugee Center	\$15,499.00	Back to school and hygiene kits	Community Engagement
	Hoosier Trails Council Boy Scouts of America	\$10,283.43	Trade skills labs	Community Engagement
	United Way of Elkhart County	\$10,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$7,081.00	COVID-19 relief	Community Engagement
	Girls Incorporated of Metro Denver	\$30,000.00	New playground	Community Engagement
	Columbus Regional Health Foundation	\$10,000.00	2020 Reverse Raffle for CRH volunteers in medicine clinic	Corporate Expectations
	Greater Twin Cities United Way	\$50,000.00	Rebuild micro and minority owned small businesses	Community Engagement
	GlobalGiving	\$9,018.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$7,258.00	COVID-19 relief	Community Engagement
	Ivy Tech Foundation, Inc	\$1,038,930.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement
	United Way of Bucks County	\$5,000.00	COVID-19 relief	Community Engagement

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	Metanoia	\$10,000.00	COVID-19 relief	Community Engagement
	John Boner Neighborhood Center	\$6,510.00	Uniforms for students 2020-21 school year	Community Engagement
	The Library Project	\$69,918.00	Improve the literacy of children	Community Engagement
	United Way Tarrant County	\$10,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$7,819.89	Bathroom and stairwell improvements and a community garden	Community Engagement
	GlobalGiving	\$9,270.00	Food for 600 families for four weeks	Community Engagement
	GlobalGiving	\$5,522.73	COVID-19 relief	Community Engagement
	GlobalGiving	\$19,285.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$11,268.00	The Gorge National Park Education programming enhancement	Community Engagement
	GlobalGiving	\$20,527.00	Safety improvement program	Community Engagement
	GlobalGiving	\$5,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,217.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,110.53	COVID-19 relief	Community Engagement
	GlobalGiving	\$11,339.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$10,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$7,500.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$6,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$18,869.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$15,764.53	COVID-19 relief	Community Engagement
	HNL, Inc.	\$10,000.00	COVID-19 relief	Community Engagement
	Jennings County Youth Foundation	\$5,000.00	Hot lunches 3 days a week	Community Engagement
	Developmental Services Inc (DSI)	\$40,000.00	Expand education and enrichment program	Community Engagement
	Twin Cities Habitat for Humanity	\$22,000.00	2020 site build	Community Engagement
	Jamestown Public School	\$25,000.00	Online learning support and summer learning kits	Community Engagement
	GlobalGiving	\$8,712.30	COVID-19 relief	Community Engagement
	Public Health Institute	\$204,001.50	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments
	Public Health Institute	\$204,001.50	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments
	Public Health Institute	\$228,446.67	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments
	Public Health Institute	\$228,446.67	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments
	Public Health Institute	\$228,446.67	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments
	Public Health Institute	\$528,824.00	Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	Strategic Investments

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			Cummins Powers Women: Advocacy training for grass roots organizations in Africa, India and Latin America creating equality for women and girls	
	Public Health Institute	\$482,425.00		Strategic Investments
	United Way Worldwide	\$136,765.80	1,178 bikes, locks and helmets	Community Engagement
	United Way Worldwide	\$4,000.00	Teacher kits - Hawaii	Community Engagement
	GlobalGiving	\$6,969.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	My Sisters House	\$10,000.00	Emergency shelter safety and privacy fence	Community Engagement
	National Civil Rights Museum (Memphis)	\$78,000.00	Funding for museum operations of Freedom Award 2020-2023	Community Engagement
	Houston Area Women's Center	\$10,000.00	Care packages	Community Engagement
			STEM education during Girls Inc. Day at the Cummins facility; Eureka 4-week summer camp	
\$	60,000.00	Girls Incorporated of Greater Indianapolis	\$0.00	Community Engagement
\$	10,000.00	Stoughton Area Resource Team (START) Project 216	\$0.00	Community Engagement
		United Way of Grand Forks	\$1,500.00	Food packing
		Foodbank of Eastern New Mexico	\$1,275.00	Backpacks and school supplies
		Save the Children Federation, Inc.	\$30,000.00	Food truck
		Sycamore Land Trust	\$268,023.00	Education in emergencies fund for natural disasters
		United Way of Cass Clay	\$20,000.00	Nature preserve restoration
		GlobalGiving	\$5,200.00	Backpacks and activity kits
		GlobalGiving	\$5,000.00	Introductory grant
		GlobalGiving	\$5,000.00	Introductory grant
		GlobalGiving	\$5,000.00	COVID-19 relief
		GlobalGiving	\$18,539.00	COVID-19 relief
		GlobalGiving	\$6,500.00	Meal for homeless elderly
		GlobalGiving	\$8,260.00	Boys conversation café
		HACAP – Hawkeye Area Community Action Program	\$5,000.00	Food bags
		Hoosier Veterans Assistance Foundation	\$7,500.00	Hygiene and move-in kits
		My BELONGings	\$10,000.00	Foster care duffle bags
		Youth Crossroads, Inc.	\$15,000.00	Youth Crossroads take-home kits and backpacks
		AEON	\$4,500.00	Picnic table build
\$	41,188.74	GlobalGiving	\$0.00	Reading program in six schools
		GlobalGiving	\$15,555.00	Supplies and equipment for three programs
		GlobalGiving	\$5,855.00	COVID-19 relief
		GlobalGiving	\$28,308.00	Skill-building woodwork program
		GlobalGiving	\$50,000.00	Safe Pathways and green space
		GlobalGiving	\$28,314.63	Organic garden education and online workshops
		GlobalGiving	\$17,405.00	Strengthening of La Pila disability committees
		GlobalGiving	\$18,512.44	Sister Alice Nursing Home – Lighting and Electrical
		GlobalGiving	\$10,030.00	COVID-19 relief
			School based mentoring program expansion for Aboriginal and Torres Strait Islander girls into Queensland	
		GlobalGiving	\$280,000.00	Strategic Investments
		GlobalGiving	\$6,000.00	COVID-19 relief
		GlobalGiving	\$9,973.00	Community garden
		GlobalGiving	\$5,000.00	Introductory grant
		GlobalGiving	\$5,000.00	Introductory grant

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	GlobalGiving	\$5,000.00	Introductory grant: support disabled young adults	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: STEM education	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: public kindergarten	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: public kindergarten	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: support deaf individuals	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: elderly center	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: women refuge	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: food security	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	Amherst H Wilder Foundation	\$20,000.00	Wilder Parent Education program	Community Engagement
\$	5,000.00 HNL, Inc.	\$0.00	discrete access of hygiene supplies for children in need	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: support individuals with disabilities	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: community hall	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: employment for individuals with disability	Community Engagement
	Food Bank of Central & Eastern North Carolina	\$35,000.00	Weekend food program for elementary students	Community Engagement
	Girls Incorporated	\$339,785.00	Support the comprehensive leadership vision plan for Girls Inc National	Strategic Investments
	Columbus Child Care Center Inc	\$9,999.00	Siding renewal project	Community Engagement
	GlobalGiving	\$0.00	Initial deposit to seed intro grant program suspense account	Community Engagement
	Operation Gratitude Inc	\$9,300.00	Care pouch assembly event	Community Engagement
	Love Chapel	\$6,116.00	Food pantry concrete pad	Community Engagement
	Big Brothers Big Sisters (BBBS)	\$5,000.00	Teen programming	Community Engagement
\$	5,000.00 Clark County Forestry and Parks	\$0.00	40 trees per year for 3 years	Community Engagement
	Indiana Black Expo, Inc	\$20,000.00	50th Year of Indiana Black Expo	Strategic Investments
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	Just Friends Inc	\$15,000.00	Van replacement for transportation	Community Engagement
	Beloved Collective Inc	\$12,700.00	Beloved Bags capacity expansion	Community Engagement
	Girls Inc of Orange County	\$25,000.00	STEM in a Bag and holiday workshops	Community Engagement
	Operation Gratitude Inc	\$20,000.00	Care kits	Community Engagement
\$	40,000.00 New York Early Childhood Professional Development Institute	\$0.00	Salary for quality improvement specialist and program materials and supplies	Community Engagement
\$	33,358.35 GlobalGiving	\$0.00	Best Buddies camp support	Community Engagement
\$	7,178.57 GlobalGiving	\$0.00	Three-part book series, book collection, teaching materials and teacher incentives	Community Engagement
	GlobalGiving	\$25,209.53	Online STEM program and curriculum	Community Engagement
	GlobalGiving	\$5,081.73	Online STEM program and curriculum	Community Engagement
	GlobalGiving	\$17,264.00	COVID-19 relief: nutrition	Community Engagement
	GlobalGiving	\$16,513.00	COVID-19 relief: food parcels	Community Engagement
	GlobalGiving	\$5,312.00	COVID-19 relief: meals for TEC school community	Community Engagement
	GlobalGiving	\$8,000.00	Student food and education support	Community Engagement
	GlobalGiving	\$6,212.00	Youth employment training program	Community Engagement
	GlobalGiving	\$5,142.00	Youth employment training program	Community Engagement
	Foundation for Youth of Bartholomew County (FFY)	\$25,000.00	COVID-19 relief	Community Engagement
	Second Harvest Foodbank Of Southern Wisconsin	\$21,700.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$64,602.00	Training and development of teachers and staff	Community Engagement

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	GlobalGiving	\$46,508.74	Mobile science laboratory for Realogile High	Community Engagement	
	GlobalGiving	\$12,500.00	COVID-19 relief: TEC SA food nutrition	Community Engagement	
	GlobalGiving	\$10,000.00	Disaster relief support - kitchenware	Community Engagement	
	GlobalGiving	\$5,004.05	COVID-19 relief: food baskets	Community Engagement	
	Jackson County United Way Inc	\$5,370.00	Winter and hygiene kits	Community Engagement	
	Golden Harvest Food Bank Inc	\$10,500.00	COVID-19 relief	Community Engagement	
	Central Ohio Stand Down	\$5,000.00	Back-packs for veterans	Community Engagement	
	Operation Gratitude Inc	\$15,000.00	Care kits	Community Engagement	
	United Way of Greater Kansas City	\$2,400.00	Veteran hygiene packs	Community Engagement	
	Treadwell Elementary	\$14,000.00	COVID-19 relief	Community Engagement	
	GlobalGiving	\$5,000.00	introductory grant: youth club	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: foodbank	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: improve live of community members	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: orphanage hisorical partner	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: refugee support	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: refugee support	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: fighting hunger and food waste	Community Engagement	
	Neillsville School District	\$5,345.58	COVID-19 relief	Community Engagement	
	GlobalGiving	\$5,000.00	COVID-19 relief: mask creation	Community Engagement	
	GlobalGiving	\$50,000.00	School equipment, food, hygiene, facility updates (Santa Convoy)	Community Engagement	
	GlobalGiving	\$20,000.00	School equipment, food, hygiene, facility updates (Santa Convoy)	Community Engagement	
\$	20,000.00	Indiana First	\$0.00	Overall program support for Region 5, 8, 9, 12, event support, training	Community Engagement
\$	10,000.00	Indianapolis Public Safety Foundation	\$0.00	IMPD Cadets Program Uniforms"	Corporate Expectations
\$	149,000.00	Eskenazi Health Foundation, Inc.	\$0.00	Support college students with physical disabilities	Corporate Expectations
	Lowcountry Food Bank	\$20,700.00	Backpack buddies	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement	
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations	
	Foundation for the Global Compact	\$100,000.00	Water Action Hub 4.0	Strategic Investments	
	United Way Worldwide	\$12,000.00	Move-in kits	Community Engagement	
	GlobalGiving	\$61,800.00	Waste management and river restoration	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: local employment and educational developemnt	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: support individuals with disabilities	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: develop skills for work	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: elderly	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: elderly	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: secondary school support	Community Engagement	
	GlobalGiving	\$5,000.00	Introductory grant: supporting adults with disabilities	Community Engagement	
	Food Bank of Northern Indiana	\$500.00	Board of Directors gift	Corporate Expectations	
	Houston Food Bank	\$500.00	Board of Directors gift	Corporate Expectations	
	Second Harvest Food Bank of Orange County	\$500.00	Board of Directors gift	Corporate Expectations	
	DC Central Kitchen	\$500.00	Board of Directors gift	Corporate Expectations	
	Philabundance	\$500.00	Board of Directors gift	Corporate Expectations	
	Food Bank for New York City	\$1,000.00	Board of Directors gift	Corporate Expectations	
	Northwest Harvest	\$500.00	Board of Directors gift	Corporate Expectations	

The Cummins Foundation, Inc.
35-6042373
Attachment 15
Part XV - Grants Summary

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2020 Grant Commitment	Project- Short Description	Grant Type
	Second Harvest Heartland	\$500.00	Board of Directors gift	Corporate Expectations
	Central Texas Food Bank	\$1,000.00	Board of Directors gift	Corporate Expectations
	Family School Partners	\$2,500.00	Cummins and Toyota joint holiday gift	Corporate Expectations
	Counseling Counts	\$2,500.00	Cummins and Toyota joint holiday gift	Corporate Expectations
	Love Chapel Food Pantry	\$2,500.00	Cummins and Toyota joint holiday gift	Corporate Expectations
	LifeDesigns	\$2,500.00	Cummins and Toyota joint holiday gift	Corporate Expectations
	Girls Incorporated Of Shelbyville Shelby County	\$5,000.00	23rd Annual Girls Inc Gala	Corporate Expectations
	Leaders of the Pack	\$5,000.00	Coat drive	Community Engagement
	The Salvation Army	\$7,500.00	Angel tree funding	Community Engagement
	United Way of Jackson County	\$30,000.00	Wildfire rebuilding efforts	Corporate Expectations
	U.S. Chamber of Commerce Foundation	\$500,000.00	CARE: 60 \$5K grants to black owned businesses	Strategic Investments
	GlobalGiving	\$5,000.00	Introductory grant: community mobility and transport support	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant	Community Engagement
	Southwestern Wisconsin Community Action Program Inc	\$5,000.00	COVID-19 relief	Community Engagement
	Girls Inc. of Johnson County	\$9,000.00	STEM Camp	Community Engagement
	Girls Inc. of Jackson County	\$5,000.00	Security system upgrade and supplies	Community Engagement
	Women & Children First	\$7,275.00	Holiday program	Community Engagement
	Second Harvest Foodbank Of Southern Wisconsin	\$15,000.00	COVID-19 relief	Community Engagement
	Humankind Ministries Wichita Inc.	\$5,000.00	Food for low income families	Community Engagement
	Iowa-Grant School District	\$10,000.00	COVID-19 relief	Community Engagement
	Girls Inc. of Greater Indianapolis	\$9,115.00	Supplies for diversity & inclusion program	Community Engagement
	United Way of Fresno and Madera Counties	\$25,000.00	Creek fire community relief fund	Corporate Expectations
	Global Giving	\$125,000.00	Cummins Powers Women: girls in STEM - education programming	Strategic Investments
			Cummins Powers Women: support young women postschool transitions and	
	Global Giving	\$100,000.00	entrepreneurship	Strategic Investments
	GlobalGiving	\$5,013.00	Food baskets	Community Engagement
	GlobalGiving	\$10,000.00	COVID-19 relief	Community Engagement
	Camfed	\$101,295.00	Cummins Powers Women: postschool transitions and entrepreneurship	Strategic Investments
	GlobalGiving	\$45,390.00	Staff for virtual support center for My Autism My Way program	Community Engagement
	GlobalGiving	\$6,565.00	COVID-19 relief	Community Engagement
	Putnam County School System	\$12,500.00	Classroom dividers	Community Engagement
	GlobalGiving	\$0.00	Additional deposit into intro grant program suspense account	Community Engagement
			General operating for Minnesota FIRST LEGO League, FIRST Tech Challenge,	
\$	20,000.00	\$0.00	FIRST LEGO League Junior STEM robotic programs	Community Engagement
	Ivy Tech Foundation, Inc	\$0.00	Design fees for new Ivy Tech - Columbus building	Corporate Expectations
	Women's Advocates	\$17,600.00	Project based programs for victims and survivors	Community Engagement
	Mineral Point Unified School District	\$10,000.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$22,103.00	Social shelter "Asyltash" educational playroom	Community Engagement
	GlobalGiving	\$7,500.00	COVID-19 relief	Community Engagement
	GlobalGiving	\$14,083.85	Food security	Community Engagement
	GlobalGiving	\$20,000.00	People of Determination (POD) digital app	Community Engagement
	GlobalGiving	\$13,941.00	Two greenhouses to support food for 48 children and families	Community Engagement
	GlobalGiving	\$10,000.00	Hurricane ETA Relief Honduras	Community Engagement
	GlobalGiving	\$9,162.00	Fundación Leer reading program (audio & physical Books)	Community Engagement
	GlobalGiving	\$6,972.00	Clean River, Healthy Ocean initiative	Community Engagement
	GlobalGiving	\$15,694.00	Youth hub safety improvements	Community Engagement
	GlobalGiving	\$10,000.00	Support increase demand for their online program	Community Engagement
	GlobalGiving	\$46,045.00	Entrepreneurship training	Community Engagement

The Cummins Foundation, Inc.
35-6042373
Attachment 15
Part XV - Grants Summary

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2020 Grant Commitment	Project- Short Description	Grant Type
	Indianapolis Urban League	\$250,000.00	CARE: establishment of the Indianapolis Entrepreneurship Center and to bolster black-owned firms that were locked out from receiving PPP loans	Strategic Investments
	School on Wheels Corp	\$15,900.00	Academic enrichment programs for children impacted by homelessness	Community Engagement
\$	100,000.00 Community Education Coalition	\$0.00	Latino Education and Outreach work continuing to build on the relationships with iGrad, ELL program, Su Casa, and the community and, the other half will support EcO Network regional facilitation focused on the EcO Manufacturing Network and the EcO IT Network among education, business and community leaders	Corporate Expectations
	Promundo	\$376,093.00	Cummins Powers Women: support the comprehensive leadership vision plan for Promundo	Strategic Investments
\$	75,000.00 CICF	\$0.00	Housing navigation and case management resources	Corporate Expectations
	Columbus Firemans Cheer Fund Inc	\$10,000.00	New toys for the Fireman's Cheer Fund	Community Engagement
\$	20,000.00 FIRST Robotics	\$0.00	Fund regionals in the Upper Midwest	Community Engagement
	Metropolitan Indianapolis Public Media Corporation ("WFYI")	\$100,000.00	CARE: salary for Criminal Justice and Police reform	Strategic Investments
	Eskenazi Health Foundation, Inc.	\$55,000.00	Support college students with physical disabilities	Corporate Expectations
	GlobalGiving	\$55,680.77	Daily meals for 62 children	Community Engagement
	GlobalGiving	\$8,762.00	Six months of support for 20 low income families	Community Engagement
	GlobalGiving	\$14,079.73	School supplies for 32 TEC students	Community Engagement
	GlobalGiving	\$10,323.00	Greenhouses	Community Engagement
	GlobalGiving	\$5,014.00	Food baskets	Community Engagement
	GlobalGiving	\$86,418.01	Improve supply chain operations	Community Engagement
	GlobalGiving	\$15,556.00	Digital library	Community Engagement
	GlobalGiving	\$12,684.00	Reforestation project middle school No.43 in La Pila	Community Engagement
	GlobalGiving	\$19,550.00	Soft skills program	Community Engagement
	GlobalGiving	\$16,649.00	Learning support for Moscow Boarding School #102 for children with disabilities	Community Engagement
	GlobalGiving	\$5,700.00	ProCivica parental training to support soft skills program	Community Engagement
	GlobalGiving	\$12,740.00	Installation of fans and LED lights in the secondary school	Community Engagement
	GlobalGiving	\$13,814.70	Stationaries and relief support for virtual learning program	Community Engagement
	GlobalGiving	\$2,876.00	Typhoon Ulysses relief	Corporate Expectations
	GlobalGiving	\$2,124.00	Typhoon Ulysses relief	Corporate Expectations
	GlobalGiving	\$56,180.00	Cummins Power Women - women for equity project selection and execution	Community Engagement
	GlobalGiving	\$51,290.00	Community development and abilities for life program for children and parents	Community Engagement
	GlobalGiving	\$35,110.00	2-year youth school shop program	Community Engagement
	GlobalGiving	\$57,930.99	Hygiene and restroom access	Community Engagement
	GlobalGiving	\$40,077.92	Virtual learning support for TEC schools	Community Engagement
	2020 - United Way Match - Canada	\$5,950.72	2020 United Way Match - Canada	Community Engagement
	2020 - United Way Match - Indiana	\$1,470,877.13	2020 United Way Match - Indiana	Community Engagement
	2020 - United Way Match - Indiana	\$26,337.59	2020 United Way Match - Indiana	Community Engagement
	2020 - United Way Match - North America	\$284,471.72	2020 United Way Match - North America	Community Engagement
	2020 - United Way Match - North America	\$692,784.86	2020 United Way Match - North America	Community Engagement
\$	12,000.00 Escala Foundation	\$0.00	One-week Project Stepping Stone college prep summer program for Latino high school sophomores and juniors	Community Engagement
	The Salvation Army	\$0.00	Renovate an existing women's domestic violence shelter	Community Engagement
	GlobalGiving	\$16,667.00	Facility updates and repairs	Community Engagement

The Cummins Foundation, Inc.
35-6042373
Attachment 15
Part XV - Grants Summary

Prior Year Commitment Payment	Grantee/Payee Name NGO or GlobalGiving	2020 Grant Commitment	Project- Short Description	Grant Type
	GlobalGiving	\$19,727.00	COVID-19 relief: rescue scholarships	Community Engagement
	GlobalGiving	\$20,000.00	Food hampers	Community Engagement
	GlobalGiving	\$15,000.00	Christmas hampers	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: elderly	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: kindergarten	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: food insecurity	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant - supports employment for disabled people	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: secondary school support	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: elderly	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: aid for domestic abuse	Community Engagement
	GlobalGiving	\$5,000.00	Introductory grant: health and well-being of elderly	Community Engagement
	2020 - United Way Match - North America	\$11,787.50	2020 - United Way Match - North America	Community Engagement
	Heritage Fund of Bartholomew County	\$200,000.00	Architecture revolving loan pilot	Corporate Expectations

\$ 1,187,225.66

\$ 15,210,598.77





2020 Approved Grant Commitments	\$	15,210,598.77	
2020 Returned Grants	\$	(272,125.00)	
Net Approved 2020 Grant Commitments	\$	<u>14,938,473.77</u>	✓
Approved during 2020, paid during 2020	\$	14,262,570.88	
Approved prior to 2020, paid in 2020	\$	1,187,225.66	
2020 Returned Grants	\$	(272,125.00)	
Net 2020 Commitments Paid	\$	<u><u>15,177,671.54</u></u>	✓


The Cummins Foundation, Inc.

35-6042373

Attachment 16 

Part XV - Grants Summary

<u>Unpaid Commitments Per Return As Of 1-1-20</u>	<u>Commitments Made In 2020</u>	<u>Commitments Paid In 2020</u>	<u>Unpaid Commitments Per Return As Of 12-31-20</u>
1,416,740	Dr 14,938,474	Cr (15,177,672)	1,177,543
			

The Cummins Foundation Inc.
35-6042373
Attachment 16 Cont. 
Part XV - 2020 Grant Summary - Unpaid Commitments
Balance as of 12/31/2020

The Cummins Foundation - 2020 Grant Summary - Unpaid Commitments				
Entity	12/31/2019 Balance	2020 Grant Amount	2020 Payments	12/31/2020 Balance
Gleaners	140,000.00		(70,000.00)	\$70,000
Perspektiva	33,358.35		(33,358.35)	\$0
Ivolosiwa	98,820.12		(48,367.31)	\$50,453
Greater Indianapolis Chamber of Commerce Foundation	50,000.00		(50,000.00)	\$0
Stoughton Area Resource Team (START)	10,000.00		(10,000.00)	\$0
City of Columbus	13,062.00			\$13,062
Girls Incorporated of Greater Indianapolis	110,000.00		(60,000.00)	\$50,000
Iridescent	28,000.00		(7,000.00)	\$21,000
Indy Public Safety Foundation	10,000.00		(10,000.00)	\$0
HNL, Inc.	5,000.00		(5,000.00)	\$0
High Tech Kids	20,000.00		(20,000.00)	\$0
Community Education Coalition	100,000.00		(100,000.00)	\$0
Clark County Forestry and Parks	10,000.00		(5,000.00)	\$5,000
Escala Foundation	12,000.00		(12,000.00)	\$0
Indiana First	40,000.00		(20,000.00)	\$20,000
Eskenazi Health Foundation, Inc.	149,000.00	55,000.00	(149,000.00)	\$55,000
CICF	75,000.00		(75,000.00)	\$0
HEALTH AND SCIENCE INNOVATIONS INC	7,500.00		(7,500.00)	\$0
Camfed	200,000.00		(200,000.00)	\$0
New York Early Childhood Professional Development Institute	140,000.00		(140,000.00)	\$0
FIRST Robotics	40,000.00		(40,000.00)	\$0
Eagle Creek Park Foundation Inc	25,000.00		(25,000.00)	\$0
National Society of Black Engineers	100,000.00		(100,000.00)	\$0
Landmark Columbus Foundation, Inc.		250,000.00	(125,000.00)	\$125,000
National Civil Rights Museum (Memphis)		78,000.00	(26,000.00)	\$52,000
Ivy Tech Foundation		1,038,930.00	(680,054.61)	\$358,875
Metropolitan Indianapolis Public Media Corporation ("WFYI")		100,000.00		\$100,000
United Way		57,152.50		\$57,153
Heritage Fund of Bartholomew County		200,000.00		\$200,000
Total	1,416,740.47	1,779,082.50	(2,018,280.27)	1,177,542.70





A-2

Source Document		December	Jan.	Feb.	March	April	May	June	July	August	September	October	November	December
Northern Trust Ultra Short Fixed Income Fund		29,709,780	29,772,190	29,827,848	29,876,470	29,923,519	31,367,679	31,400,733	30,045,591	30,072,903	30,097,611	30,120,750	30,139,734	30,203,799
Valuation Reserve (mkt unrealized g/l)		116,681	204,100	262,528	(320,919)	118,117	295,373	387,506	431,435	431,461	402,017	402,017	402,017	343,000
Capital Gains/Losses			-	-	-	-	-	-	-	-	-	-	-	-
Fixed Income Sales			-	-	-	-	-	-	-	-	-	-	-	-
Transfer from Northern Trust Diversified			-	-	-	-	-	-	-	-	-	-	-	-
F-2		29,826,461	29,976,290	30,090,376	29,555,551	30,041,636	31,663,051	31,788,239	30,477,026	30,504,365	30,499,628	30,522,767	30,541,751	30,546,798
All of the above green match			29,976,289	30,090,375	29,555,551	30,041,637	31,663,052	31,788,239	30,477,027	30,504,365	30,499,629	30,522,768	30,541,752	30,546,799
			1	1	0	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)
Contributions Received														
Dividend Income		53,284	62,410	55,658	48,623	47,050	44,160	33,054	28,626	27,312	24,708	23,139	18,984	14,909
Capital Gains/Losses									16,232					49,156
Unrealized gain/loss change		(2,385)	87,419	58,428	(583,447)	439,036	177,256	92,133	43,929	26	-	-	-	-
Realized gain/loss		2,385												
Accrued Income Change			(0)	(0)	(1)	(1)	-	-	-	-	-	-	-	-
Inter portfolio Transfers		(2,100,000)					1,400,000		(1,400,000)					
Fixed Income Sales														
F10 - Realized Gain/Loss Summary 2698880														
Unrealized gain/loss on Investment		149,658	87,419	58,428	(583,447)	439,036	177,256	269,389	313,318	313,344	313,344	313,344	313,344	313,344
Reams Fixed Income Fund H-2														
		12,442,179	12,405,277	12,146,236	12,382,740	12,553,313	12,219,732	11,908,785	11,731,780	11,977,938	12,103,055	12,047,734	11,889,836	11,767,751
Valuation Reserve (mkt unrealized g/l)		91,629	146,060	196,825	45,811	246,109	384,245	471,037	489,966	476,689	387,369	345,161	350,249	385,480
Reams Asset Investment (Ending cost Balance)		12,533,808	12,551,337	12,343,061	12,428,551	12,799,422	12,603,977	12,379,821	12,221,746	12,454,627	12,490,424	12,392,895	12,240,085	12,153,231
CMO Principal Paydowns														
H-4 Investment Transaction Summary FNC01		(222,746)	(290,109)	(102,615)	(80,586)	(74,263)	(63,572)	(100,564)	(71,590)	(78,127)	(62,528)	(136,970)	(113,994)	(110,548)
Fixed Income Purchases		3,099,744	564,903	794,880	5,423,111	1,602,120	362,122	124,658	364,343	855,450	1,571,923	585,868	663,570	231,011
Fixed Income Sales		(3,148,357)	(201,642)	(512,654)	(4,890,703)	(1,327,482)	(388,597)	(37,700)	(512,159)	(445,744)	(1,366,535)	(522,402)	(573,964)	-
Interportfolio Transfer In		-	-	-	-	-	600,000	-	-	-	-	-	-	-
Security Receipts		-	-	-	-	-	-	-	-	-	-	-	-	-
Interportfolio Transfer Out		(900,000)	-	-	-	-	-	-	(600,000)	-	-	-	-	-
Security Deliveries		-	-	-	-	-	-	-	-	-	-	-	-	-
Amortization/OID/ MKT Accretion		-	(19)	152	(948)	109	(2,005)	(48)	161	121	(52)	25	1,025	(14,153)
Realized Gain/Loss - Sale/Paydown (Total)		12,305	(36)	5,315	(6,532)	(277)	(12,950)	(1,985)	42,241	14,556	65,328	18,159	29,859	(1,401)
Call on Bond		(157,419)	-	(301,405)	(219,981)	-	(149,692)	(166,306)	-	(100,000)	(80,000)	-	(29,643)	(120,882)
Redemption		-	(110,000)	(50,000)	(60,000)	(4,025)	(80,000)	(135,000)	-	-	-	-	(135,000)	(70,000)
Take-Over Cash		-	-	(97,774)	71,324	(25,523)	-	-	-	-	-	-	(2,978)	(37,463)
Realized Gain/Lost - Call on Bond & Redemptions		1,580	-	5,060	819	(85)	1,113	5,998	-	(47)	(42)	-	249	1,383
Realized Gain/Lost - Take-Over Cash		-	-	-	-	-	-	-	-	-	-	-	-	-
Take-Over Cast Cost		-	-	-	-	-	-	-	-	(52)	-	-	-	(33)
Misc Transfer		-	-	-	-	-	-	-	-	-	-	-	-	-
Net Change in Reserve (B)		6,809	54,431	50,765	(151,015)	200,298	138,136	86,791	18,929	(13,277)	(89,320)	(42,209)	5,089	35,231
Unrealized gain/loss on Investment		(424,379)	17,529	(208,276)	85,490	370,872	(195,445)	(224,156)	(158,075)	232,881	35,797	(97,529)	(152,810)	(86,854)
T-Bill Unrealized														
Total Unrealized gain/loss on Investment		(274,721)	104,947	(149,848)	(497,957)	809,907	(18,190)	45,233	155,242	546,226	349,141	215,815	160,535	226,490
Total Other Investments														
		42,360,269	42,527,626	42,433,437	41,984,102	42,841,058	44,267,028	44,168,060	42,698,772	42,958,992	42,990,052	42,915,662	42,781,836	42,700,029
Total Realized Gain/Loss YTD		60,687	(36)	10,340	4,627	4,265	(7,572)	(3,559)	38,682	53,191	118,476	136,636	166,744	166,726
Total Unrealized Gain/Loss YTD		542,503	141,850	251,043	(483,418)	155,916	471,308	650,233	713,091	699,840	610,521	568,312	573,401	608,631
Total from Financial Statements		42,360,269	42,527,622	42,433,433	41,984,099	42,841,056	44,267,027	44,168,060	42,698,773	42,958,991	42,990,051	42,915,661	42,781,835	42,700,027





Department of the Treasury
Internal Revenue Service
Ogden, UT 84201

Notice	CP211A
Tax period	December 31, 2020
Notice date	August 30, 2021
Employer ID number	35-6042373
To contact us	Phone 877-829-5500 FAX 877-792-2864

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THE CUMMINS FOUNDATION INC
% TRACY SOUZA PRESIDENT
PO BOX 3005
COLUMBUS IN 47202-3005

Page 1 of 1

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Important information about your December 31, 2020 Form 990PF

We approved your Form 8868, Application for Extension of Time To File an Exempt Organization Return

We approved the Form 8868 for your December 31, 2020 Form 990PF. Your new due date is November 15, 2021.

What you need to do

File your December 31, 2020 Form 990PF by November 15, 2021. We encourage you to use electronic filing—the fastest and easiest way to file.

Visit www.irs.gov/charities to learn about approved e-File providers, what types of returns can be filed electronically, and whether you are required to file electronically.

Additional information

Visit www.irs.gov/cp211a.

- For tax forms, instructions, and publications, visit www.irs.gov/forms-pubs or call 800-TAX-FORM (800-829-3676).
- Keep this notice for your records.

If you need assistance, please don't hesitate to contact us.

